# Induction, Transitive Closure and Cycles 

Liron Cohen, Cornell University,
Reuben Rowe, University of Kent

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Halpern, Harper, Immerman, Kolaitis, Vardi, Vianu. On the unusual effectiveness of logic in computer science, 2001


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## What Logic?



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natural, effective extensions of FOL that allow inductive definitions

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natural, effective extensions of $F O L$ that allow inductive definitions


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where $R^{(0)}=I d, R^{(n+1)}=R^{(n)} \circ R$.
Alternatively,

$$
R^{*}=I d \cup \bigcap\{S \mid R \cup S \circ R \subseteq S\}
$$

(Least fixed point of the composition operator)

## Why Transitive Closure Logic?

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- Captures inductive principles in a uniform way.
- Not parametrized by a set of inductive principles.


## The Language

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The language $\mathcal{L}_{T C}$ is defined as $\mathcal{L}_{\text {FOL }}$, with the additional clause:

- $\left(R T C_{x, y} \varphi\right)(s, t)$ is a formula, for $\varphi$ a formula, $x, y$ distinct variables, and $s, t$ terms. ( $x, y$ become bound in this formula.)


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Allows for:

- Rich testing
- Nested RTC


## The Semantics

The Intended Meaning of $\left(R T C_{x, y} \varphi\right)(s, t)$

$$
s=t \vee \varphi(s, t) \vee \exists w_{1} \cdot \varphi\left(s, w_{1}\right) \wedge \varphi\left(w_{1}, t\right)
$$

$$
\vee \exists w_{1} \exists w_{2} \cdot \varphi\left(s, w_{1}\right) \wedge \varphi\left(w_{1}, w_{2}\right) \wedge \varphi\left(w_{2}, t\right) \vee \ldots
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\end{aligned}
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Formal Definition
Let $M$ be a structure for $\mathcal{L}_{T C}$ and $v$ an assignment in $M$.
$M, v \models\left(R T C_{x, y} \varphi\right)(s, t)$ iff there exist $a_{0}, \ldots a_{n} \in D$ s.t.
$v[s]=a_{0} ; v[t]=a_{n} ; M, v\left[x:=a_{i}, y:=a_{i+1}\right] \models \varphi$ for $0 \leq i<n$.

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$M, v \models\left(R T C_{x, y} \varphi\right)(s, t)$ provided for every $A \subseteq D$, if $v(s) \in A$ and $\forall a, b \in D:(a \in A \wedge M, v[x:=a, y:=b] \vDash \varphi) \rightarrow b \in A$, then $v(t) \in A$.

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x=y+z \Longleftrightarrow\left(R T C_{u, v} v .1=s(u .1) \wedge v .2=s(u .2)\right)((0, y),(z, x))
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## Expressive Power

## Categorical Characterization of the Natural Numbers

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\begin{aligned}
& \forall x(s(x) \neq 0) \\
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## Proof Theory



## Proof Theory



The System $\mathcal{L K}=$ [Gentzen, '34]

$$
\begin{array}{cc}
\frac{\psi, \Gamma \Rightarrow \Delta}{\varphi \wedge \psi, \Gamma \Rightarrow \Delta}\left(\wedge L_{1}\right) & \frac{\varphi, \Gamma \Rightarrow \Delta}{\varphi \wedge \psi, \Gamma \Rightarrow \Delta}\left(\wedge L_{2}\right) \\
\frac{\varphi, \Gamma \Rightarrow \Delta \psi, \Gamma \Rightarrow \Delta}{\varphi \vee \psi, \Gamma \Rightarrow \Delta}(\vee L) & \frac{\Gamma \Rightarrow \Delta, \varphi \Gamma \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \wedge \psi}(\wedge R) \\
\frac{\Gamma \Rightarrow \Delta, \varphi}{\varphi \rightarrow \psi, \Gamma \Rightarrow \Delta}\left(\vee R_{1}\right) & \frac{\Gamma \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \vee \psi}\left(\vee R_{2}\right) \\
\frac{\Gamma \Rightarrow \Delta, \varphi}{\neg \varphi, \Gamma \Rightarrow \Delta}(\neg L) & \frac{\varphi, \Gamma \Rightarrow \Delta, \psi}{\Gamma \Rightarrow \Delta, \varphi \rightarrow \psi}(\rightarrow R) \\
\frac{\varphi\left\{\frac{t}{x}\right\}, \Gamma \Rightarrow \Delta}{\forall x \varphi, \Gamma \Rightarrow \Delta}(\forall L) & \frac{\varphi, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \varphi}(\neg R) \\
\frac{\varphi\left\{\frac{y}{x}\right\}, \Gamma \Rightarrow \Delta}{\exists x \varphi, \Gamma \Rightarrow \Delta}(\exists L)^{*} & \frac{\Gamma \Rightarrow \Delta, \varphi\left\{\frac{y}{x}\right\}}{\Gamma \Rightarrow \Delta, \forall x \varphi}(\forall R)^{*} \\
\hline
\end{array}
$$

## The System $\mathcal{L K}=$ [Gentzen, '34]

$$
\begin{aligned}
& \underset{\varphi, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}(w k L) \\
& \frac{\varphi, \varphi, \Gamma \Rightarrow \Delta}{\varphi, \Gamma \Rightarrow \Delta}(c n t L) \\
& \frac{\Gamma \Rightarrow \Delta, \varphi \quad \varphi, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}(c u t) \\
& \frac{\Gamma \Rightarrow \Delta}{\Gamma\left\{\frac{\overrightarrow{5}}{\bar{x}}\right\} \Rightarrow \Delta\left\{\frac{\vec{s}}{\bar{x}}\right\}} \text { (sub) } \\
& \overline{\varphi \Rightarrow \varphi}(i d) \\
& \frac{\Gamma \Rightarrow \Delta, s=t \Gamma \Rightarrow \Delta, \varphi\left\{\frac{s}{x}\right\}}{\Gamma \Rightarrow \Delta, \varphi\left\{\frac{t}{x}\right\}}(e q) \\
& \overline{\Rightarrow t=t}(e q)
\end{aligned}
$$

## Finitary Proof System - RTC ${ }_{G}$

## Reflexivity

$$
\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, s)
$$

Step

$$
\frac{\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, r) \quad \Gamma \Rightarrow \Delta, \varphi\left\{\frac{r}{x}, \frac{t}{y}\right\}}{\Gamma \Rightarrow \Delta,\left(T C_{x, y} \varphi\right)(s, t)}
$$

Induction

$$
\frac{\Gamma, \psi(x), \varphi(x, y) \Rightarrow \Delta, \psi\left\{\frac{y}{x}\right\}}{\Gamma, \psi\left\{\frac{s}{x}\right\},\left(R T C_{x, y} \varphi\right)(s, t) \Rightarrow \Delta, \psi\left\{\frac{t}{x}\right\}}
$$

provided $x \notin F V(\Gamma \cup \Delta)$ and $y \notin F V(\Gamma \cup \Delta \cup\{\psi\})$.

## RTC $_{G}$ 'Captures' TC-logic

$$
\frac{\Gamma \Rightarrow \Delta, \varphi\left\{\frac{s}{x}, \frac{r}{y}\right\} \Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(r, t)}{\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, t)}
$$

$$
\begin{array}{cc}
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\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, t) \\
\Gamma \Rightarrow \Delta,\left(R T C_{y, x} \varphi\right)(t, s) \\
\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, t) \\
\hline \Rightarrow \Delta,\left(R T C_{u, v} \varphi\left\{\frac{u}{x}, \frac{v}{y}\right\}\right)(s, t)
\end{array} & \begin{array}{c}
\Gamma, \varphi \Rightarrow \Delta, \psi
\end{array} \\
\frac{\Gamma,\left(R T C_{x, y} \varphi\right)(s, t) \Rightarrow \Delta,\left(R T C_{x, y} \psi\right)(s, t)}{} & \frac{\left(R T C_{x, y} \varphi\right)(s, t), \Gamma \Rightarrow \Delta}{\left(R T C_{u, v}\left(R T C_{x, y} \varphi\right)(u, v)\right)(s, t), \Gamma \Rightarrow \Delta} \\
\frac{\varphi\left\{\frac{s}{x}\right\}, \Gamma \Rightarrow \Delta}{\left(R T C_{x, y} \varphi\right)(s, t), \Gamma \Rightarrow s=t, \Delta} & \\
\Gamma \Rightarrow \Delta, s=t, \exists z\left(\left(R T C_{x, y} \varphi\right)(s, z) \wedge \varphi\left\{\frac{z}{x}, \frac{t}{y}\right\}\right)
\end{array}
$$

## Arithmetics in $\mathrm{RTC}_{G}$

TC for Arithmetics
$\mathrm{RTC}_{G}+\mathrm{A}$ is obtained from $\mathrm{RTC}_{G}$ by the addition of the standard axioms for successor and addition, and the axiom characterizing the natural numbers in TC-logic.

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## Theorem

RTC $_{G}+\mathrm{A}$ is equivalent to the sequent calculi of $P A$, i.e. there is a provability preserving translation algorithm between them.

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## Theorem

RTC $_{G}+\mathrm{A}$ is equivalent to the sequent calculi of $P A$, i.e. there is a provability preserving translation algorithm between them.

Corollary
The ordinal number of the $\mathrm{RTC}_{G}+\mathrm{A}$ is $\varepsilon_{0}$.

## Henkin Semantics

A $\sigma$-Henkin structure is a triple $M=\left\langle D, I, D^{\prime}\right\rangle$ (frame), s.t.:

1. $\langle D, I\rangle$ is a FO structure for $\sigma$
2. $D^{\prime} \subseteq P(D)$ is closed under parametric definability.

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Completeness Theorem
$T \vdash_{\operatorname{RTC}_{G}} \varphi \Longleftrightarrow T \models_{H} \varphi$.

## So Far

standard validity

## So Far

standard validity
Henkin validity


## Proof Theory



Infinitary?


## Infinitary Systems



## Infinitary Systems



## Infinitary Systems



## Infinitary Systems



## Infinitary Systems



## Infinite Descent-Style Proof System



## Infinite Descent-Style Proof System



## Infinite height, not width

- Proofs can be infinite, non-well-founded trees, provided that every infinite path admits some infinite descent.
- The descent is witnessed by tracing terms/formulas corresponding to elements of a well-founded set.
- This global trace condition is decidable using Büchi automata.
- Systems of implicit induction.


## Infinitary Proof System - RTC ${ }_{G}^{\omega}$

## Reflexivity

$$
\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, s)
$$

## Step

$$
\frac{\Gamma \Rightarrow \Delta,\left(R T C_{x, y} \varphi\right)(s, r) \quad \Gamma \Rightarrow \Delta, \varphi\left\{\frac{r}{x}, \frac{t}{y}\right\}}{\Gamma \Rightarrow \Delta,\left(T C_{x, y} \varphi\right)(s, t)}
$$

Case-split

$$
\frac{\Gamma, s=t \Rightarrow \Delta \quad \Gamma,\left(R T C_{x, y} \varphi\right)(s, z), \varphi\left\{\frac{z}{x}, \frac{t}{y}\right\} \Rightarrow \Delta}{\Gamma,\left(R T C_{x, y} \varphi\right)(s, t) \Rightarrow \Delta}
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provided $z$ is fresh.

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## Soundness and Completeness

## Completeness Theorem

$T \vdash \vdash_{\operatorname{RTC}}^{\underset{G}{\omega}} \varphi \Longleftrightarrow T \models \varphi$.

## Soundness and Completeness

## Completeness Theorem <br> $T \vdash_{\text {RTC }}^{\text {cf }} \underset{G}{\omega} \varphi \Longleftrightarrow T \models \varphi$.

Global soundness via an infinite descent proof-by-contradiction:

## Soundness and Completeness

## Completeness Theorem

$T \vdash_{\operatorname{RTC}}^{\underset{G}{\omega}} \varphi \Longleftrightarrow T \models \varphi$.
Global soundness via an infinite descent proof-by-contradiction:

- Assume the conclusion of the proof is invalid


## Soundness and Completeness

## Completeness Theorem

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T \vdash_{\operatorname{RTC}}^{G}{ }_{G}^{\omega} \underset{ }{\omega} \varphi T \models \varphi .
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Global soundness via an infinite descent proof-by-contradiction:

- Assume the conclusion of the proof is invalid
- Local soundness entails an infinite sequence of counter models


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- Mapped to the minimal length for witnessing the transitive closure trace.


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- Local soundness entails an infinite sequence of counter models
- Mapped to the minimal length for witnessing the transitive closure trace.
- Global trace condition entails the chain is infinitely descending
- But the numbers are well-founded ... contradiction!


## So Far

standard validity
Henkin validity


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## Proof Theory



## The Cyclic Subsystem - CRTC ${ }_{G}^{\omega}$



## The Cyclic Subsystem - CRTC ${ }_{G}^{\omega}$



- An effective subsystem can be obtained by considering only the regular infinite proofs.
- Regular proofs $=$ represented as finite, possibly cyclic, graphs.


## Implicit Induction Subsumes Explicit Induction

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- Normal Cyclic Proofs $=$ non-overlapping cyclic proofs.


## Cyclic Proof vs. Explicit Induction

Induction invariant

## Cyclic Proof vs. Explicit Induction



## Cyclic Proof vs. Explicit Induction



## Cyclic Proof vs. Explicit Induction



- Complex induction schemes naturally represented by nested and overlapping cycles.


## Cyclic Proof vs. Explicit Induction



- Complex induction schemes naturally represented by nested and overlapping cycles.
- Every sequent provable using the explicit induction rule is also derivable using cyclic proof.


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## Is the Cyclic System Stronger?

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- In general, the question of the (in)equivalence between the systems remains open.
- In systems for FOL with inductive definition, the equivalence was refuted when both systems have the same set of inductive definitions. [Berardi, Tatsuta, 2017]
- In the TC framework all inductive definitions at once.


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## Future (and Current) Work

- Resolving the open question of the (in)equivalence of RTC $_{G}$ and $\operatorname{CRTC}{ }_{G}^{\omega}$.
- Implementing CRTC ${ }_{G}^{\omega}$ and investigating the practicalities of TC-logic to support automated inductive reasoning.
- Using the uniformity of TC-logic to better study the relationship between implicit and explicit induction.
- Cuts required in each system
- Relative complexity of proofs
- Incorporating coinductive reasoning into the formal system.


## Summary

standard validity
Henkin validity


## Summary

standard validity
Henkin validity

