

## II. SPECIAL PROBLEMS

### FIRST GROUP : PERCEPTION

#### A. PERCEPTION AND ORGANIZATION

##### SELECTION 5

### LAWS OF ORGANIZATION IN PERCEPTUAL FORMS

By MAX WERTHEIMER

“Untersuchungen zur Lehre von der Gestalt,” II, *Psychol. Forsch.*, 1923, 4, 301-350.

301 I stand at the window and see a house, trees, sky.

Theoretically I might say there were 327 brightnesses and nuances of colour. Do I *have* “327”? No. I have sky, house, and trees. It is impossible to achieve “327” as such. And yet even though such droll calculation were possible—and implied, say, for the house 120, the trees 90, the sky 117—I should at least have *this* arrangement and division of the total, and not, say, 127 and 100 and 100; or 150 and 177.

The concrete division which I *see* is not determined by some arbitrary mode of organization lying solely within my own pleasure; instead I see the arrangement and division which is given there before me. And what a remarkable process it is when some other mode of apprehension *does* succeed! I gaze for a long time from my window, adopt after some effort the most unreal attitude possible. And I *discover* that part of a window sash and part of a bare branch together compose an *N*.

Or, I look at a picture. Two faces cheek to cheek. I see one (with its, if you will, “57” brightnesses) and the other (“49” brightnesses). I do not see an arrangement of 66 plus 40 nor of 6 plus 100. There *have* been theories which would require that I see “106”. In reality I see two faces!

Or, I hear a melody (17 tones) with its accompaniment (32 tones). I hear the melody and accompaniment, not simply “49”—and certainly not 20 plus 29. And the same is true even in cases where there is no stimulus continuum. I hear the melody and its accompaniment even when they are played by an old-fashioned 302 clock where each tone is separate from the others. Or, one sees a series of discontinuous dots upon a homogeneous ground not

Grateful acknowledgment is hereby made to *Julius Springer, Verlagshandlung*, Berlin, for permission to reproduce the illustrations used in this SELECTION.

as a sum of dots, but as figures. Even though there may here be a greater latitude of possible arrangements, the dots usually combine in some "spontaneous", "natural" articulation—and any other arrangement, even if it can be achieved, is artificial and difficult to maintain.

When we are presented with a number of stimuli we do not as a rule experience "a number" of individual things, this one and that and that. Instead larger wholes separated from and related to one another are given in experience; their arrangement and division are concrete and definite.

*Do such arrangements and divisions follow definite principles?* When the stimuli *abcde* appear together what are the principles according to which *abc/de* and not *ab/cde* is experienced? It is the purpose of this paper to examine this problem, and we shall therefore begin with cases of discontinuous stimulus constellations.

304 I. A row of dots is presented upon a homogeneous ground. The alternate intervals are 3 mm. and 12 mm.

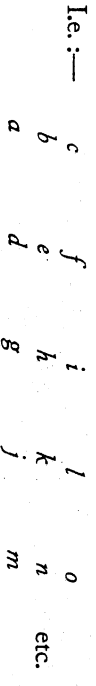


Normally this row will be seen as *ab/cd*, not as *a/bc/de*. As a matter of fact it is for most people impossible to see the whole series simultaneously in the latter grouping.

We are interested here in what is actually seen. The following will make this clear. One sees a row of groups obliquely tilted from lower left to upper right (*ab/cd/ef*). The arrangement *a/bc/de* is extremely difficult to achieve. Even when it can be seen, such an arrangement is far less certain than the other and is quite likely to be upset by eye-movements or variations of attention.

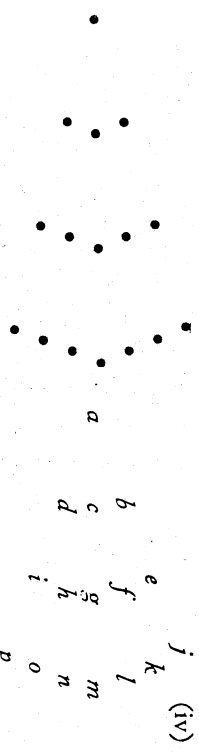


This is even more clear in (iii).



Quite obviously the arrangement *abc/def/ghi* is greatly superior to *ceg/fhj/ikm*. Another, still clearer example of spontaneous arrangement is

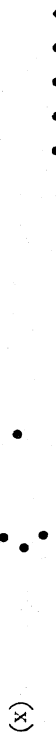
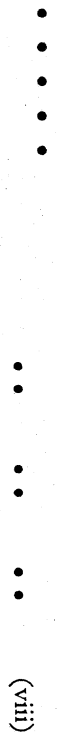
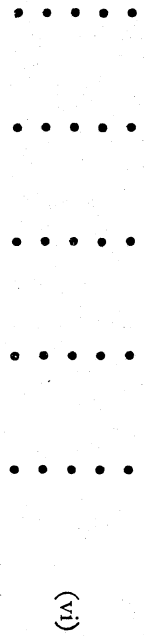
that given in (iv). The natural grouping is, of course, *a/bcd/efghi*, etc.



Resembling (i) but still more compelling is the row of three-dot groupings given in (v). One sees *abc/def*, and not some other (theoretically possible) arrangement.



306 Another example of seeing what the objective arrangement dictates is contained in (vi) for vertical, and in (vii) for horizontal groupings.



In all the foregoing cases we have used a relatively large number of dots for each figure. Using fewer we find that the arrangement is not so imperatively dictated as before, and reversing the more obvious grouping is comparatively easy. Examples: (viii)–(x).





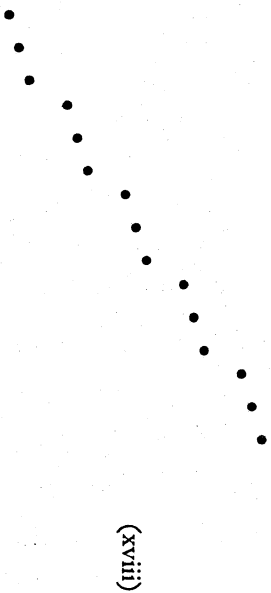
Or, in the same way:—



This retention of constant direction could also be demonstrated with achromatic colours (green background) thus: white, light 311 grey, medium grey, dark grey, black. A musical reproduction of (xv) would be C, C, E, E, F#, F#, A, A, C, C, ...; and similarity for (xvi): C, C, C, E, E, E, F#, F#, A, A, A, C, C, C, ...

Thus far we have dealt merely with a special case of the general law. Not only similarity and dissimilarity, but *more and less dissimilarity* operate to determine experienced arrangement. With tones, for example, C, C#, E, F, G#, A, C, C#... will be heard in the grouping *abc/cd*... and C, C#, D, E, F, F#, G#, A, A#, C, C#, D... in the grouping *abc/def*...

Or, again using achromatic colours, we might present these same relationships in the manner suggested (schematically) by (xvii) and (xviii).



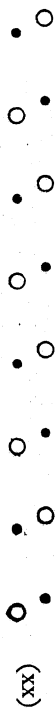
(It is apparent from the foregoing that quantitative comparisons can be made regarding the application of the same laws in regions—form, colour, sound—heretofore treated as psychologically separate and heterogeneous.)

III. What will happen when two such factors appear in the same constellation? They may be made to co-operate; or, they can

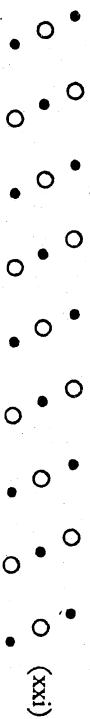
be set in opposition—as, for example, when *one* operates to favour *abc/d* while the *other* favours *bc/de*. By appropriate variations, 312 either factor may be weakened or strengthened. As an example, consider this arrangement:—



where both similarity and proximity are employed. An illustration 313 of opposition in which similarity is victorious despite the preferential status given to proximity is this:—



A less decided victory by similarity:—



Functioning together towards the same end, similarity and proximity greatly strengthen the prominence here of verticality:—



Where, in cases such as these, *proximity* is the predominant factor, a gradual increase of interval will eventually introduce a point at which *similarity* is predominant. In this way it is possible to test the strength of these Factors.

IV. A row of dots is presented:—



and then, without the subject's expecting it, but before his eyes, a sudden, slight shift upward is given, say, to *d*, *e*, *f* or to *d*, *e*, *f* and *j*, *k*, *l* together. This shift is "pro-structural", since it involves an entire group of naturally related dots. A shift upward of,



other sequence. Or, again, a certain (objectively) ambiguous arrangement will be perfectly definite and unequivocal when given as a part in a sequence. (In view of its great strength this Factor must in all cases be considered with much care.)

Parenthetically: it is customary to attribute influences such as these to purely subjective (meaning by this "purely arbitrary") conditions. But our examples refer only to *objective* factors: the presence or absence of a certain row of dots in a sequence is determined solely by objective conditions. It is objectively quite different whether a Row M is presented after Row L or after Row N; or, whether the presentations follow one another

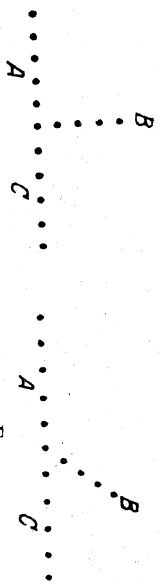


FIG. 1.

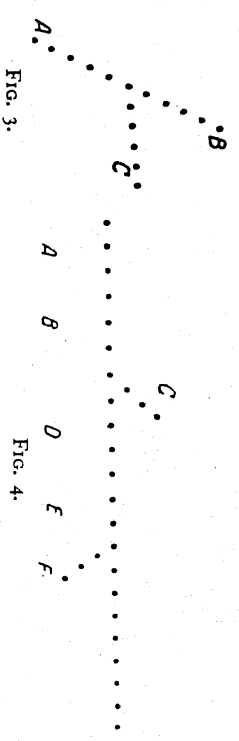


FIG. 2.

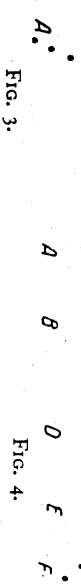


FIG. 3.

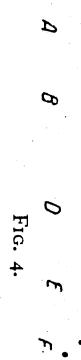


FIG. 4.

immediately or occur on different days. When several rows are simultaneously presented it is of course possible to select one row or another quite according to one's (subjective) fancy; or any certain row may be compared with another just above or below it. But this special case is not what we are here concerned with. Such subjectively determined arrangements are possible *only* if the rows of dots permit of two or more modes of apprehension. Curiously enough, however, it has been just this special case (where objective conditions do not themselves compel us to see one arrangement rather than another) which has usually been thought of as *the* fundamental relationship. As a matter of fact we shall see below how even purely subjective factors are by no means as arbitrary in their operations as one might suppose.

VII. That spatial proximity will not alone account for organization can be shown by an example such as Fig. 1. Taken individually the points in B are in closer proximity to the individual points of A



FIG. 5.



FIG. 6a.



FIG. 6b.

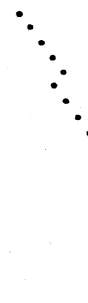


FIG. 7.

(or C) than the points of A and C are to each other. Nevertheless the perceived grouping is not AB/C or BC/A, but, quite clearly "a horizontal line" — i.e. AC/B. In Fig. 2 the spatial proximity of B and C is even greater, yet the result is still AC/B — i.e. horizontal-oblique. The same is true of the relationship

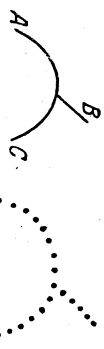


FIG. 8.



FIG. 9.

322 AB/C in Fig. 3. As Figs. 4-7 also show we are dealing now with a new principle which we may call *The Factor of Direction*. That direction may still be unequivocally given even when curved lines are used is of course obvious (cf. Figs. 8-12).

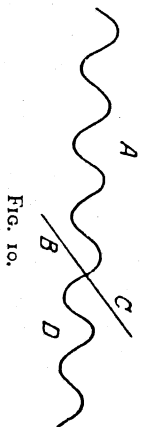


FIG. 10.

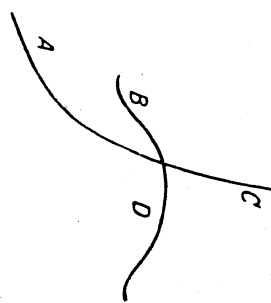


FIG. 11.

323 The dominance of this Factor in certain cases will be especially clear

if one attempts to see Fig. 13 as (*abefil...*) (*cdghlkm...*) instead of (*acegik...*) (*bdflm...*).

Suppose in Fig. 8 we had only the part designated as *A*, and suppose any two other lines were to be added. Which of the additional ones would join *A* as its continuation and which would appear

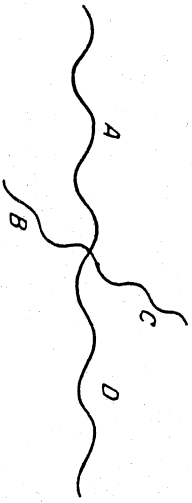


FIG. 12.



FIG. 13.

as an appendage? As it is now drawn *AC* constitutes the continuity, *B* the appendage. Figs. 14-19 represent a few such variations. Thus, for example, we see that *AC/B* is still the dominant organization even in Fig. 15 (where *C* is tangent to the circle



FIG. 14.



FIG. 15.



FIG. 16.



FIG. 17.



FIG. 18.



FIG. 19.

implied by *A*). But in Fig. 16, when *B* is tangent to *A*, we still have *AC/B*. Naturally, however, the length of *B* and *C* is an important consideration. In all such cases there arise the same questions as those suggested above in our discussion of *Prägnanzstufen*. Certain arrangements are stronger than others, and

seen to "triumph"; intermediate arrangements are less distinctive, more equivocal.

On the whole the reader should find no difficulty in seeing what is meant here. In designing a pattern, for example, one has a feeling how successive parts should follow one another; one knows what a "good" continuation is, how "inner coherence" is to be achieved, etc.; one recognizes a resultant "good Gestalt" simply by its own "inner necessity". A more detailed study at this juncture would require consideration of the following: Additions to an incomplete object (e.g. the segment of a curve) may proceed in a direction opposed to that of the original, or they may carry on



FIG. 20.



FIG. 21.



FIG. 22.

the principle "logically demanded" by the original. It is in the latter case that "unity" will result. This does not mean, however, that "simplicity" will result from an addition which is (piecewise considered) "simple". Indeed even a very "complicated" addition may promote unity of the resultant whole. "Simplicity" does not refer to the properties of individual parts; simplicity is a property of wholes. Finally, the addition must be viewed also in terms of such characteristic "whole properties" as closure, equilibrium, and symmetry.<sup>1</sup>

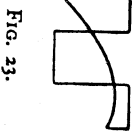


FIG. 23.

From an inspection of Figs. 20-22 we are led to the discovery of still another principle: *The Factor of Closure*. If *A*, *B*, *C*, *D* are given and *AB/CD* constitute two self-enclosed units, then *this* arrangement rather than *AC/BD* will be apprehended. It is not true, however, that closure is necessarily the dominant factor in all cases which satisfy these conditions. In Fig. 23, for example, it is not three self-enclosed areas but rather *The Factor of the "Good Curve"* which predominates.

It is instructive in this connection to determine the conditions under which two figures will appear as two independent figures, and

<sup>1</sup> Symmetry signifies far more than mere similarity of parts; it refers rather to the logical correctness of a part considered relative to the whole in which that part occurs.

those under which they will combine to yield an entirely different (single) figure. (Examples : Figs. 24-27.) And this applies also to surfaces.<sup>1</sup> The reader may test the influence of surface wholeness by attempting to see Fig. 24 as three separate, closed figures. With coloured areas the unity of naturally coherent parts may be enhanced

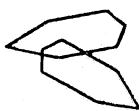


FIG. 24.



FIG. 25.



FIG. 26.

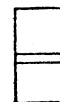


FIG. 27.

328 still more. Fig. 28 is most readily seen as an oblique deltoid (*bc*) within a rectangle (*ad*). Try now to see on the left side a hexagon whose lower right-hand corner is shaded,

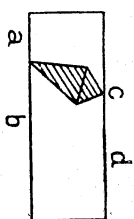


FIG. 28.

and on the right side another hexagon whose upper left-hand corner is shaded [viz. Figs. 28a and 28b].

Once more we observe (as with the curves of Figs. 9-12) the influence of a tendency towards the "good" Gestalt, and in the present case it is probably easier than before to grasp the meaning of this expression. Here it is clearly evident that a unitary

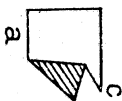


FIG. 28a.

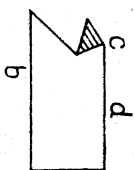


FIG. 28b.

colour tends to bring about uniformity of colouring within the given surface.<sup>2</sup>

Taking any figure (e.g. Fig. 29) it is instructive to raise such questions as the following : By means of what additions can one so alter the figure that a spontaneous apprehension of the original 329 would be impossible ? (Figs. 30-32 are examples.) An excellent

<sup>1</sup> Compare in *Selection 6* the application which Fuchs makes of this.  
<sup>2</sup> The Factor of similarity can thus be seen as a special instance of *The Factor of the Good Gestalt*.

method of achieving this result is to complete certain "good subsidiaries" in a manner which is "contra-structural" relative to the original. (But notice that not all additions to the original

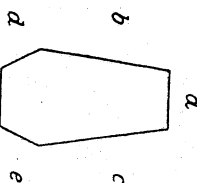


FIG. 29.

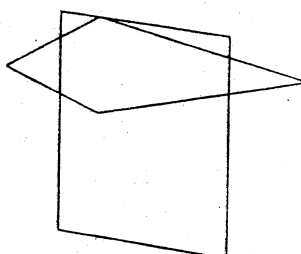


FIG. 30.

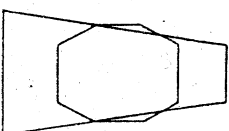


FIG. 31.

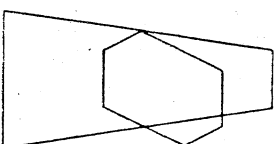


FIG. 32.

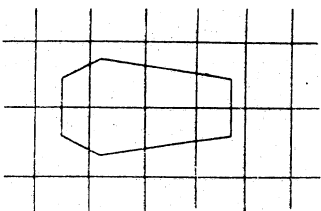


FIG. 33.

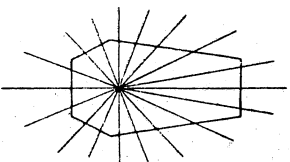


FIG. 34.

will have this effect. Figs. 33-34, for example, represent additions which we may call "indifferent" since they are neither "pro-structural" nor "contra-structural".)



Let us call the original (Fig. 29) *O* and any contra-structural addition *C*, while any pro-structural addition we shall call *P*. For our purposes, then, *O* is to be thought of as a subsidiary of some more inclusive whole. Now *O* whether taken alone or as part of *OP* is different from what it would be in *OC*. It is of the first importance for *O* in *which* constellation it appears.<sup>1</sup> (In this way a person thoroughly familiar with *O* can be made quite blind to its existence. This applies not only to recognition but to perception in general.)

331 *VIII.* Another Factor is that of past experience or habit. Its principle is that if *AB* and *C* but not *BC* have become habitual (or "associated") there is then a tendency for *ABC* to appear as *AB/C*. Unlike the other principles with which we have been dealing, it is characteristic of this one that the *contents A, B, C* are assumed to be independent of the constellation in which they appear. Their arrangement is on principle determined merely by extrinsic circumstances (e.g. drill).

332 There can be no doubt that some of our apprehensions are determined in this way.<sup>2</sup> Often arbitrary material can be arranged in arbitrary form and, after a sufficient drill, made habitual. The difficulty is, however, that many people are inclined to attribute to this principle the fundamental structure of *all* apprehension. The situation in §VII, they would say, simply involves the prominence of habitual complexes. Straight lines, right angles, the arcs of circles, squares—all are familiar from everyday experience. And so it is also with the intervals between parts (e.g. the spaces between written words), and with uniformity of coloured surfaces. Experience supplies a constant drill in such matters.

And yet, despite its plausibility, the doctrine of past experience brushes aside the real problems of apprehension much too easily. Its duty should be to demonstrate in each of the foregoing cases (1) that the dominant apprehension was due to earlier experience (and to nothing else); (2) that non-dominant apprehensions in each instance had *not* been previously experienced; and, in general, (3) that in the *amassing* of experience none but adventitious factors need ever be involved. It should be clear from our earlier discussions and examples that this programme could not succeed. A single example will suffice to show this. Right angles surround us from childhood (table, cupboard, window, corners of rooms,

houses). At first this seems quite self-evident. But does the child's environment consist of nothing but man-made objects? Are there not in nature (e.g. the branches of trees) fully as many obtuse and acute angles? But far more important than these is the following consideration. Is it *true* that cupboards, tables, etc., actually present right angles to the child's eye? If we consider the literal reception of stimuli upon the retina, how often are *right angles* as such involved? Certainly less often than the *perception* of right angles. As a matter of fact the conditions necessary for a literal "right angle" stimulation are realized but rarely in everyday life (*viz. only* when the table or other object appears in a frontal parallel plane). Hence the argument from experience is referring not to repetition of literal stimulus conditions, but to repetition of phenomenal experience—and the problem therefore simply repeats itself.

Regardless of whether or not one believes that the relationships discussed in §VII depend upon past experience, the question remains in either case: Do these relationships exhibit the operations of intrinsic laws or not, and if so, which laws? Such a question requires experimental inquiry and cannot be answered by the mere expression "past experience". Let us take two arrangements which have been habitually experienced in the forms *abc* and *def* many thousands of times. I place them together and present *abcdef*. Is the result sure to be *abc/def*? Fig. 35, which is merely the combination of a *W* and an *M*, may be taken as an example. One ordinarily sees not the familiar letters *W* and *M*, but a situation between two symmetrically curved uprights. If we designate parts of the *W* from left to right as *abc* and those of the *M* as *def*, the figure may be described as *ad/be/cf* (or as */be/* between */ad/* and */cf/*); *not*, however, as *abc/def*.

333 But the objection might be raised that while we are familiar enough with *W* and *M*, we are not accustomed to seeing them in *this* way (one above the other) and that this is why the other arrangement is dominant. It would certainly be false, however, to consider this an "explanation". At best this mode of approach could show only why the arrangement *W-M* is *not* seen; the positive side would still be untouched. But apart from this, the objection is rendered impotent when we arrange *abc* and *def* one above the other (Fig. 36) in a fashion quite as unusual as that



FIG. 35.

FIG. 36.

<sup>1</sup> Compare *Selections 9a* and *9b*.

<sup>2</sup> Example: 314 cm. is apprehended as *abc/de*, not as *ab/cde*—i.e. as 314 cm., not 31/4 cm. nor as 314c/m.

given in Fig. 35. Nor is the argument admissible that the arrangements /ad/ and /be/ and /cf/ in Fig. 35 are themselves familiar from past experience. It simply is not true that as much experience has been had with /be/ as with the *b* in *abc* and the *e* in *def*.

348 LX. When an object appears upon a homogeneous field there must be stimulus differentiation (inhomogeneity) in order that the object may be perceived. A perfectly homogeneous field appears as a total field [Ganzfeld] opposing subdivision, disintegration, etc. To effect a segregation within this field requires relatively strong differentiation between the object and its background. And this holds not only for ideally homogeneous fields but also for fields in which, e.g., a symmetrical brightness distribution obtains, or in which the "homogeneity" consists in a uniform dappled effect. The best case for the resulting of a figure in such a field is when in the total field a closed surface of simple form is different in colour from the remaining field. Such a surface figure is not one member of a duo (of which the total field or "ground" would be the other member); its contours serve as boundary lines only for *this* figure. The background is not limited by the figure, but usually seems to continue unbroken beneath that figure.

349 *Within* this figure there may be then further subdivision resulting in subsidiary wholes. The procedure here as before is in the direction "from above downward" and it will be found that the Factors discussed in § VII are crucial for these subdivisions.<sup>1</sup> As regards attention, fixation, etc., it follows that they are *secondarily* determined relative to the natural relations already given by whole-constellations as such. Consider, e.g., the difference between some artificially determined concentration of attention and that spontaneously resulting from the pro-structural emphasis given by a figure itself. For an approach "from above downward", i.e. from whole-properties downward towards subsidiary wholes and parts, individual parts ("elements") are not primary, not pieces to be combined in and-summations, but are *parts of wholes*.

<sup>1</sup> Epistemologically this distinction between "above" and "below" is of great importance. The mind and the psychophysiological reception of stimuli do not respond after the manner of a mirror or photographic apparatus receiving individual "stimuli" *qua* individual units and working them up "from below" into the objects of experience. Instead response is made to articulation as a whole—and this after the manner suggested by the Factors of § VII. It follows that the apparatus of reception cannot be described as a piecewise sort of mechanism. It must be of such a nature as to be able to *grasp the inner necessity* of articulated wholes. When we consider the problem in this light it becomes apparent that pieces are not even experienced as such but that apprehension itself is characteristically "from above".