

# ON THE POWER OF NONLINEAR SECRET-SHARING\*

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**Abstract.** A *secret-sharing scheme* enables a dealer to distribute a secret among  $n$  parties such that only some predefined authorized sets of parties will be able to reconstruct the secret from their shares. The (monotone) collection of authorized sets is called an *access structure*, and is freely identified with its characteristic monotone function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ . A family of secret-sharing schemes is called *efficient* if the total length of the  $n$  shares is polynomial in  $n$ . Most previously known secret-sharing schemes belonged to a class of *linear* schemes, whose complexity coincides with the *monotone span program* size of their access structure. Prior to this work there was no evidence that nonlinear schemes can be significantly more efficient than linear schemes, and in particular there were no candidates for schemes efficiently realizing access structures which do not lie in NC.

The main contribution of this work is the construction of two efficient nonlinear schemes: (1) A scheme with perfect privacy whose access structure is conjectured not to lie in NC; (2) A scheme with statistical privacy whose access structure is conjectured not to lie in P/poly. Another contribution is the study of a class of nonlinear schemes, termed *quasi-linear* schemes, obtained by *composing* linear schemes over different fields. While these schemes are (super-polynomially) more powerful than linear schemes, we show that they cannot efficiently realize access structures outside NC.

**Key words.** secret-sharing, nonlinear secret-sharing, monotone span programs, quadratic residuosity.

**1. Introduction.** Secret-sharing schemes enable a dealer, holding a secret piece of information, to distribute this secret among  $n$  parties such that only some predefined authorized sets of parties can reconstruct the secret from their shares and others learn nothing about it. The (monotone) collection of authorized sets that can reconstruct the secret is called an *access structure*, and is freely identified with its characteristic monotone function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ .

The first secret-sharing schemes were introduced by Blakley [14] and Shamir [52]. They constructed *threshold* schemes, in which the access structure is defined by a threshold function. General secret-sharing schemes, realizing non-threshold access structures, were introduced by Ito, Saito, and Nishizeki [43], where it was shown that every monotone access structure can be (inefficiently) realized by a secret-sharing scheme. More efficient schemes for specific types of access structures were presented, e.g., in [10, 54, 18, 45]. We refer the reader to [53, 58] for extensive surveys on secret-sharing literature.<sup>1</sup>

Originally motivated by the problem of secure information storage, secret-sharing schemes have found numerous other applications in cryptography and distributed computing (cf. [50, 9, 23, 27, 30]). However, secret-sharing is independently interesting as a pure complexity question. The default complexity measure of secret-sharing schemes is their *share size*, i.e., the total length of all shares distributed by the dealer. This is a measure of the amount of communication (or storage) required for sharing

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<sup>1</sup>Similarly to almost all of the vast literature on secret-sharing, this work is concerned with the *information-theoretic* variant of the problem. A relaxed notion of *computationally*-secure secret-sharing has been considered in [62, 46, 4].

a secret.<sup>2</sup> One of the most interesting open questions in this area is to characterize which access structures can be *efficiently* realized, i.e., with shares of polynomial size in the number of parties  $n$ . For most access structures, the best known upper bound on the share size is exponential. However, unlike other concrete complexity measures such as circuit complexity, one cannot apply simple counting arguments to show that this must indeed be the case. In fact, given the current knowledge, one cannot even rule out the possibility that *all* access structures can be efficiently realized.

Several lower bounds on the share size of secret-sharing were obtained [22, 15, 32, 29, 28]. The strongest current bound is  $\Omega(n^2/\log n)$  [28]. This bound applies to an *explicit* access structure. However, as noted above, there is a huge gap between these lower bounds and the best known upper bounds.

**1.1. Linear vs. Nonlinear Secret-Sharing.** Most previously known secret-sharing schemes were *linear*. In a linear scheme, the secret is viewed as an element of a finite field  $F$ , and the shares are obtained by applying a linear mapping to the secret and several independent random field elements. Linear schemes may be equivalently defined by requiring that each authorized set reconstructs the secret by applying a linear function to its shares [6]. For example, the schemes of [52, 14, 43, 10, 54, 18, 13, 45, 33] are all linear.

The share size in linear schemes over  $F$  realizing a monotone function  $f$  is proportional to the *monotone span program* size of  $f$  over  $F$ . (Span programs are a linear-algebraic model of computation introduced in [45].) In fact, there is a one-to-one correspondence between linear secret-sharing schemes and monotone span programs. The class of functions that have polynomial size monotone span programs, which coincides with those admitting efficient linear secret-sharing schemes, is fairly well understood: (1) it contains monotone NC<sup>1</sup> and even monotone symmetric logspace [10, 11, 45]; (2) it is contained in algebraic NC<sup>2</sup> (as follows from [12, 17, 49, 21]), implying that it is contained in NC<sup>3</sup> when  $\log |F|$  is polynomially bounded; and (3) there are explicit monotone functions that are provably not in this class [7, 2, 37] (this is proved without any complexity assumptions).

As opposed to linear secret-sharing schemes, nearly nothing is known for general (i.e., possibly nonlinear) schemes. Several constructions of nonlinear secret-sharing schemes have been suggested, both for the threshold case [61, 31, 51] and for general access structures [35].<sup>3</sup> The question of basing verifiable secret-sharing and secure multi-party computation on nonlinear secret-sharing has been studied in [26]. However, none of these works provides evidence that nonlinear schemes are significantly more powerful than their linear counterparts.

The relation between linear and nonlinear complexity has been studied in other contexts, such as coding and randomness extraction (cf. [60]). While in some of these contexts the margins of possible improvement obtained by relaxing the linearity restriction are provably small, this is not the case for our problem. As discussed above, it is not even known if there exists an access structure that *cannot* be efficiently realized by a nonlinear scheme. On the other hand, prior to this work there was no evidence that nonlinear schemes are significantly more efficient than linear schemes. In particular, there were no explicit candidates for secret-sharing schemes realizing access structures which do not lie in NC.

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<sup>2</sup>By default, we ignore the *computational* complexity of the scheme. However, most of our efficient constructions are also computationally efficient. We explicitly indicate when this is not the case.

<sup>3</sup>A nonlinear construction of [19] has been shown to be incorrect by [55].

**1.2. Our Results.** We attempt to remedy the above state of affairs. To this end, we take two different approaches.

*Specific candidates.* The main contribution of this work is the construction of specific efficient nonlinear secret-sharing schemes, whose access structures are conjectured to be hard. We present two main schemes, whose access structures are related to two variants of the quadratic residuosity problem.<sup>4</sup> A third scheme, which is a simplified version of the second, realizes an access structure related to the co-primality problem.<sup>5</sup>

The first scheme realizes an access structure whose computational complexity is equivalent to that of deciding quadratic residuosity modulo a *fixed* prime, where the prime modulus may depend only on the number of parties.<sup>6</sup> This problem is not known to be in NC. In particular, assuming that it is indeed not in NC, a separation of efficient nonlinear schemes from efficient linear schemes follows.

The second scheme realizes a presumably much harder access structure, whose computational complexity is equivalent to the general quadratic residuosity problem. The latter is widely conjectured to require super-polynomial (or even exponential) size circuits, and its intractability is implied by the so-called *Quadratic Residuosity Assumption* [39], which is commonly relied on in cryptography. In contrast to the first construction, the second construction only meets a more liberal notion of secret-sharing (with a statistical relaxation of the perfect correctness and privacy requirements, see Section 2), and its reconstruction procedure is computationally inefficient. Yet, the second scheme demonstrates that the share size in a secret-sharing scheme may be super-polynomially smaller than the circuit size of its access structure.

As a variant of the second scheme described above, we obtain a scheme whose access structure is equivalent to the co-primality problem. Similarly to quadratic residuosity modulo a (fixed) prime, the co-primality problem is in P but is not known to be in NC. As the second scheme, the third scheme meets only the more liberal notion of security. However, unlike the second scheme it is also computationally efficient. Compared to the first scheme, the co-primality problem is more standard than the problem of deciding quadratic residuosity modulo a *fixed* prime. The main properties of the three schemes described above are summarized in Table 1.1.

	perfect/ statistical	access structure related to...	computat. efficient?	Hardness of Access Structure
§3	perfect	quadratic residuosity modulo a fixed prime	yes	in P not known to be in NC
§4	statistical	quadratic residuosity	no	in NP conjectured not in P/poly
§4.2	statistical	co-primality	yes	in P not known to be in NC

TABLE 1.1  
*Summary of Our Main Schemes.*

Our constructions were inspired by a non-interactive private protocol for the

<sup>4</sup>The quadratic residuosity problem is that of deciding, given a pair of integers  $w, u$ , whether  $w$  is a square modulo  $u$ .

<sup>5</sup>The co-primality problem is that of deciding, given  $w, u$ , whether  $\gcd(w, u) = 1$ .

<sup>6</sup>While a generalization to quadratic residuosity modulo a *fixed* composite is possible, this problem is essentially equivalent in a non-uniform setting to deciding quadratic residuosity modulo a fixed prime.

quadratic residuosity problem from [36]. In fact, every protocol in the model of [36, 42] can be transformed into a secret-sharing scheme for a related access structure.

*Quasi-linear schemes.* In addition to the above specific candidates, we study a class of nonlinear schemes, which we term *quasi-linear* schemes, obtained by *composing* linear schemes over (possibly) different fields. Composition of secret-sharing schemes has been used in previous works (cf. [10, 20, 59, 47, 27]). However, to the best of our knowledge this is the first work to explicitly discuss compositions of linear schemes over different fields. We characterize the complexity of quasi-linear schemes in terms of Boolean formulas over the basis of monotone span programs. We prove that quasi-linear schemes cannot realize any access structure outside NC. Specifically, we show that the class of structures which they can efficiently realize is contained in  $\text{NC}^4$ . Thus, quasi-linear schemes do not provide the strong (conjectured) results implied by the specific candidates described above. On a positive note, we show an application of quasi-linear schemes for the construction of secret-sharing schemes efficiently realizing monotone span programs over a ring  $\mathcal{Z}_u$ , where  $u$  is a square-free composite. A naive generalization of the construction for monotone span programs over *fields* [45] fails to achieve this goal.<sup>7</sup> Following our work, it was shown in [8] that quasi-linear schemes are strictly more powerful than linear schemes, that is, there are explicit functions that have small quasi-linear schemes, however require super-polynomial linear schemes.

*Organization.* In Section 2 we present some definitions and background. In Sections 3 and 4 we describe our two main constructions of efficient nonlinear schemes, and discuss the complexity of their access structures. Finally, in Section 5 we introduce and study the class of quasi-linear schemes.

**2. Preliminaries.** In this section we define secret-sharing schemes, linear secret-sharing schemes, and span programs, and briefly discuss the connections between these notions. We end this section with some definitions related to the quadratic residuosity problem.

**DEFINITION 2.1 (Access Structure).** *Let  $\{P_0, \dots, P_{n-1}\}$  be a set of parties. A collection  $\mathcal{A} \subseteq 2^{\{P_0, \dots, P_{n-1}\}}$  is monotone if  $B \in \mathcal{A}$  and  $B \subseteq C$  imply  $C \in \mathcal{A}$ . An access structure is a monotone collection  $\mathcal{A}$  of non-empty subsets of  $\{P_0, \dots, P_{n-1}\}$  (that is,  $\mathcal{A} \subseteq 2^{\{P_0, \dots, P_{n-1}\}} \setminus \{\emptyset\}$ ). The sets in  $\mathcal{A}$  are called the authorized sets. A set  $B$  is called a minimal set of  $\mathcal{A}$  if  $B \in \mathcal{A}$ , and  $C \notin \mathcal{A}$  for every  $C \subsetneq B$ . The minimal sets of an access structure uniquely define it. Finally, we freely identify an access structure with its monotone characteristic function  $f_{\mathcal{A}} : \{0, 1\}^n \rightarrow \{0, 1\}$ , whose variables are denoted  $x_0, \dots, x_{n-1}$ .*

**DEFINITION 2.2 (Secret-Sharing).** *Let  $S$  be a finite set of secrets, where  $|S| \geq 2$ . An  $n$ -party secret-sharing scheme  $\Pi$  with secret-domain  $S$  is a randomized mapping from  $S$  to a set of  $n$ -tuples  $S_0 \times S_1 \times \dots \times S_{n-1}$ , where  $S_i$  is called the share-domain of  $P_i$ . A dealer distributes a secret  $s \in S$  according to  $\Pi$  by first sampling a vector of shares  $(s_0, \dots, s_{n-1})$  from  $\Pi(s)$ , and then privately communicating each share  $s_i$  to the party  $P_i$ . We say that  $\Pi$  realizes an access structure  $\mathcal{A} \subseteq 2^{\{P_0, \dots, P_{n-1}\}}$  (or the corresponding monotone function  $f_{\mathcal{A}} : \{0, 1\}^n \rightarrow \{0, 1\}$ ) if the following two requirements hold:*

**Correctness.** *The secret  $s$  can be reconstructed by any authorized set of parties.*

*That is, for any set  $B \in \mathcal{A}$  (where  $B = \{P_{i_1}, \dots, P_{i_{|B|}}\}$ ), there exists a reconstruction function  $\text{Rec}_B : S_{i_1} \times \dots \times S_{i_{|B|}} \rightarrow S$  such that for every*

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<sup>7</sup>This result does not follow from [35], who impose stronger requirements in their definition of span programs over rings.

$s \in S$ ,

$$\Pr[\text{Rec}_B(\Pi(s)_B) = s] = 1,$$

where  $\Pi(s)_B$  denotes the restriction of  $\Pi(s)$  to its  $B$ -entries.

**Privacy.** Every unauthorized set cannot learn anything about the secret (in the information theoretic sense) from their shares. Formally, for any set  $C \notin \mathcal{A}$ , for every two secrets  $a, b \in S$ , and for every possible shares  $\langle s_i \rangle_{P_i \in C}$ :

$$\Pr[\Pi(a)_C = \langle s_i \rangle_{P_i \in C}] = \Pr[\Pi(b)_C = \langle s_i \rangle_{P_i \in C}].$$

The share complexity of the scheme (or complexity for short) is defined as

$$\sum_{i=0}^{n-1} \log |S_i|.$$

As the mutual information between the secret and the shares of a set of parties can only grow when we add parties to the set, it suffices to prove the correctness for minimal authorized sets and the privacy for maximal unauthorized sets.

The above correctness and privacy requirements capture the strict notion of *perfect* secret-sharing, which is the one most commonly referred to in the secret-sharing literature. We will also consider a relaxed but natural notion of *statistical* secret-sharing, in which  $\Pi$  accepts an additional argument  $k$ , called the *security parameter*, and the perfect correctness and privacy requirements are relaxed to *statistical correctness* and *statistical privacy*, defined as follows.

**Statistical correctness.** Any authorized set of parties can reconstruct the secret  $s$  except with negligible probability  $\epsilon(k)$ . That is, for every authorized  $B \in \mathcal{A}$  there exists a reconstruction function  $\text{Rec}_B$  such that

$$(2.1) \quad \Pr[\text{Rec}_B(\Pi(s)_B) = s] \geq 1 - \epsilon(k)$$

for some  $\epsilon(k) \in k^{-\omega(1)}$ .

**Statistical privacy.** Any unauthorized set of parties learns only a negligible amount of information about the secret. That is, for any unauthorized  $C \notin \mathcal{A}$  and two secrets  $a, b \in S$ ,

$$(2.2) \quad \text{SD}(\Pi(a, k)_C, \Pi(b, k)_C) \leq \epsilon(k)$$

for some  $\epsilon(k) \in k^{-\omega(1)}$ , where  $\text{SD}(Y_0, Y_1)$  denotes the statistical distance between distributions  $Y_0, Y_1$  defined as

$$\text{SD}(Y_0, Y_1) = \frac{1}{2} \sum_y |\Pr[Y_0 = y] - \Pr[Y_1 = y]|.^8$$

We next define the class of *linear* secret-sharing schemes. There are several equivalent definitions for these schemes, see [6].

**DEFINITION 2.3 (Linear Secret-Sharing).** Let  $F$  be a finite field. A secret-sharing scheme  $\Pi$  is said to be *linear over  $F$*  if:

1. The secret-domain  $S$  is a subset of  $F$ .

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<sup>8</sup>Equivalently, the statistical distance between  $Y_0$  and  $Y_1$  may be defined as the maximum, over all functions  $A$ , of the *distinguishing advantage*  $|\Pr[A(Y_0) = 1] - \Pr[A(Y_1) = 1]|$ .

2. There exist  $d_0, \dots, d_{n-1}$  such that each share-domain  $S_i$  is a sub-space of the vector space  $F^{d_i}$ .
3. The randomized mapping  $\Pi$  can be computed as follows. First, the dealer chooses independent random variables, denoted  $r_1, \dots, r_\ell$ , each uniformly distributed over  $F$ . Then, each coordinate of each of the  $n$  shares is obtained by taking a linear combination of  $r_1, \dots, r_\ell$  and the secret  $s$ .

We remark that the notions of perfect secret-sharing and statistical secret-sharing coincide in the case of linear schemes: Any linear scheme that satisfies the weaker conditions of statistical correctness and privacy satisfies the stronger requirements of perfect correctness and privacy.

REMARK 2.4. Van Dijk [32] describes a generalization of linear schemes which, following [55], we call multi-linear schemes. In a multi-linear scheme the secret is viewed as a collection of elements from a finite field  $F$ , and the shares are obtained by applying a linear mapping to the elements of the secret and several independent random field elements. Simonis and Ashikhmin [55] show that multi-linear schemes can be somewhat more efficient than linear schemes. However, if we require that the length of the secret is polynomial in the number of parties, then multi-linear schemes can only be polynomially more efficient than linear schemes.

As for any other concrete complexity measure, we will often implicitly use the term “scheme” for referring to an infinite *family* of schemes  $\{\Pi_n\}_{n \in \mathcal{N}}$ , parameterized by the number of parties  $n$ . In the statistical case, we require the same negligible function  $\epsilon(k)$  to apply in Equations (2.1) and (2.2) for all  $\Pi_n$  in the family. In the linear case, such a family can have a different underlying field for each  $n$ . A family  $\{\Pi_n\}_{n \in \mathcal{N}}$  is *efficient* if the complexity of  $\Pi_n$  is polynomial in  $n$  (or the complexity of  $\Pi_n(k)$  is polynomial in  $n$  and  $k$  in the statistical case). Note that the above definition does not make any requirement on the computational complexity of the scheme. We say that the scheme is *computationally efficient* if both sharing the secret and reconstructing it can be done in time  $\text{poly}(n, k, \log |S|)$ . Finally, the family of access structures  $\{\mathcal{A}_n\}$  realized by a scheme family  $\{\Pi_n\}$  is naturally identified with a monotone Boolean function  $f : \{0, 1\}^* \rightarrow \{0, 1\}$  or its characteristic language.

We next define span programs – a linear algebraic model of computation whose monotone version is equivalent to linear secret-sharing.

DEFINITION 2.5 (Span Program [45]). A span program over a field  $F$  is a triplet  $\widehat{M} = \langle M, \rho, \vec{v} \rangle$ , where  $M$  is an  $r \times c$  matrix over  $F$ , the vector  $\vec{v} \in F^c$  is a non-zero row vector called the target vector, and  $\rho$  is a labeling of the rows of  $M$  by literals from  $\{x_0, \bar{x}_0, \dots, x_{n-1}, \bar{x}_{n-1}\}$  (every row is labeled by one literal, and the same literal can label many rows). A span program  $\widehat{M}$  is said to be monotone if all of its rows are labeled by positive literals.

A span program accepts or rejects an input by the following criterion. For every input  $y \in \{0, 1\}^n$  let  $M_y$  denote the sub-matrix of  $M$  consisting of those rows whose labels are satisfied by the assignment  $y$ . The span program  $\widehat{M}$  accepts  $y$  if and only if  $\vec{v}$  is in the row-span of  $M_y$  (where each row of  $M$  is viewed as a vector in  $F^c$ ). A span program computes a Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  if it accepts exactly those inputs  $y$  such that  $f(y) = 1$ . Note that monotone span programs compute monotone functions. Finally, the size of  $\widehat{M}$  is the number of rows in  $M$ .

The complexity of realizing a given access structure by a linear secret-sharing scheme over  $F$  is proportional to the minimal size of a monotone span program over  $F$  computing  $f$ . Specifically,

LEMMA 2.6 ([45, 6]). An access structure can be realized by a linear secret-sharing

scheme over  $F$  in which the shares include a total of  $d$  field elements if and only if it can be computed by a monotone span program over  $F$  of size  $d$ .

It follows from [12, 17, 49, 21] that all functions that have small span programs are in NC. Specifically,

**LEMMA 2.7.** *If a function  $f$  has a span program over  $F = \text{GF}(q)$  of size  $\ell$ , then  $f$  has an arithmetic circuit of size  $\text{poly}(\ell)$  and depth  $O(\log^2 \ell)$  over  $F$ , implying that it has a Boolean circuit of size  $\text{poly}(\ell, \log q)$  and depth  $O(\log^2 \ell \log \log q)$ .*

*Quadratic Residues.* Let  $\mathcal{Z}_u$  be the ring of integers modulo  $u$ , whose elements are identified with the integers  $\{0, 1, \dots, u-1\}$ . Let  $\mathcal{Z}_u^*$  denote the multiplicative group of the elements of  $\mathcal{Z}_u$  that are relatively prime to  $u$ , that is, the elements of  $\mathcal{Z}_u^*$  are  $\{1 \leq w < u : \gcd(w, u) = 1\}$ . The number of element in  $\mathcal{Z}_u^*$  is denoted by  $\varphi(u)$ , and is referred to as the Euler function of  $u$ .

An integer  $w$  is said to be a *quadratic residue* modulo  $u$  if  $\gcd(w, u) = 1$  and there exists an integer  $b$  such that  $w \equiv b^2 \pmod{u}$ . It is said to be a *quadratic non-residue* modulo  $u$  if  $\gcd(w, u) = 1$  and there is no integer  $b$  such that  $w \equiv b^2 \pmod{u}$ . We will pay particular attention to the case where the modulus is an odd prime  $p$ ; thus,  $w$  and  $b$  may be viewed as elements of the field  $\mathcal{Z}_p$ . In this case,  $w \in \mathcal{Z}_p^* = \mathcal{Z}_p \setminus \{0\}$  is said to be a quadratic residue if it is a square of some field element, and a *quadratic non-residue* otherwise. (The element 0 is neither a quadratic residue nor a quadratic non-residue.) The quadratic residues form a subgroup of the multiplicative group  $\mathcal{Z}_p^*$ . The *quadratic residuosity problem* is that of deciding, given  $w$  and  $u$ , whether  $w$  is a quadratic residue modulo  $u$ . When  $u$  is restricted to be a prime (or given the factorization of  $u$ ) this problem can be solved in polynomial time, but is not known to have an efficient *parallel* algorithm. When  $u$  is arbitrary, this problem is widely assumed to be intractable. See Section 3.1 for more details.

**3. An Efficient Nonlinear Scheme: The Perfect Case.** In this section we construct an efficient nonlinear secret-sharing scheme whose access structure is conjectured not to lie in NC. The scheme constructed in this section is *perfectly* private and correct. A *statistical* scheme realizing a computationally harder access structure will be given in the next section.

**DEFINITION 3.1** (The Access Structure  $\mathbf{NQRP}_p$ ). *Let  $p$  be an odd prime and  $m \stackrel{\text{def}}{=} \lfloor \log p \rfloor$ . We define the  $n$ -party access structure  $\mathbf{NQRP}_p$ , where  $n \stackrel{\text{def}}{=} 2m$ , by specifying its collection of minimal sets. The parties of the access structure are denoted by  $P_i^b$ , where  $0 \leq i < m$  and  $b \in \{0, 1\}$ . With each  $w \in \{0, 1\}^m$  (also viewed as an  $m$ -bit integer) we naturally associate a set  $B_w$  of size  $m$  defined by:  $B_w \stackrel{\text{def}}{=} \{P_i^{w_i} : 0 \leq i < m\}$ . A set  $B$  is a minimal set of  $\mathbf{NQRP}_p$  if:*

- $B = \{P_i^0, P_i^1\}$  for some  $0 \leq i < m$ , or:
- $B = B_w$  for some  $w$  such that  $w$  is not a quadratic residue modulo  $p$ . (That is, it is either 0 or a quadratic non-residue.)

We let  $\mathbf{NQRP}$  denote a family of access structures such that the  $n$ th structure is  $\mathbf{NQRP}_p$  for some  $p$  such that  $\lfloor \log p \rfloor = \lfloor n/2 \rfloor$  (say, the least such  $p$ ).<sup>9</sup>

We next construct a secret-sharing scheme for  $\mathbf{NQRP}$ .

**THEOREM 3.2.** *For every odd prime  $p$  there exists a perfect secret-sharing scheme for  $\mathbf{NQRP}_p$  in which the secret-domain is  $\{0, 1\}$  and the share-domain of each party is  $\mathcal{Z}_p$ .*

<sup>9</sup>To make the access structure ZPP-uniform,  $p$  can be chosen to be the least prime in the interval  $[2^{\lfloor n/2 \rfloor}, 2^{\lfloor n/2 \rfloor + n}]$ , or 3 if none exists. However, as for other number-theoretic functions, a random choice of  $p$  may be safer when assuming that  $\mathbf{NQRP}$  is not in NC.

*Proof.* We prove this theorem by describing the secret-sharing scheme.

The dealer chooses at random  $m - 1$  random elements  $z_0, z_1, \dots, z_{m-2} \in \mathcal{Z}_p$  and an additional random element  $r \in \mathcal{Z}_p^*$ . Define

$$(3.1) \quad z_{m-1} \stackrel{\text{def}}{=} - \sum_{i=0}^{m-2} z_i,$$

where here and in the following all arithmetic operations involving ring elements are performed in  $\mathcal{Z}_p$ . The shares of the parties are specified in Table 3.1. We turn to

	$s = 0$	$s = 1$
$P_0^b$ , where $b \in \{0, 1\}$	$r^2 + z_0$	$br^2 + z_0$
$P_i^b$ , where $1 \leq i < m$ , $b \in \{0, 1\}$	$z_i$	$2^i br^2 + z_i$

TABLE 3.1  
A secret-sharing scheme for  $\mathbf{NQR}\mathbf{P}_p$ .

prove that this secret-sharing scheme satisfies the correctness and privacy properties with respect to  $\mathbf{NQR}\mathbf{P}_p$ . Let  $\text{SUM}_w$  denote the sum of the  $m$  shares held by parties in  $B_w$ . Both the correctness and the privacy proofs will rely on the following lemma.

LEMMA 3.3.  $\text{SUM}_w = w^s r^2$ .

*Proof.* By (3.1) we get:

- If  $s = 0$  then

$$\text{SUM}_w = \sum_{i=0}^{m-1} z_i + r^2 = r^2.$$

- If  $s = 1$  then

$$\begin{aligned} \text{SUM}_w &= \sum_{i=0}^{m-1} (z_i + w_i 2^i r^2) \\ &= \sum_{i=0}^{m-1} z_i + r^2 \sum_{i=0}^{m-1} (w_i 2^i) \\ &= r^2 w. \end{aligned}$$

□

*Correctness.* We separately consider two types of minimal authorized sets  $B$ :

- $B = \{P_i^0, P_i^1\}$  for some  $0 \leq i < m$ . In this case,  $s = 0$  iff the shares of  $P_i^0$  and  $P_i^1$  are equal. This follows from the fact that  $2^i r^2 \not\equiv 0 \pmod p$  for every  $i$ .
- $B = B_w$  for some  $w$  such that  $w$  is not a quadratic residue. In this case, it follows from Lemma 3.3 that  $s = 0$  iff  $\text{SUM}_w$  is a quadratic residue (since the product of a quadratic residue and a non quadratic residue is a non quadratic residue).

*Privacy.* We need to prove that every unauthorized set  $C \notin \mathbf{NQR}\mathbf{P}_p$  has no information on the secret. It suffices to prove this claim for every *maximal*  $C$  not in the access structure. There are two cases to consider.

- $C = B_w$  for some  $w \in \{0, 1\}^m$  such that  $w$  is a quadratic residue. In this case we claim that, regardless of the value of the secret, the share-vector of the parties in  $C$  is uniformly distributed over the  $m$ -tuples of field elements whose sum is a quadratic residue. Indeed, by Lemma 3.3, if  $s = 0$  then  $\text{SUM}_w = r^2$ , which is a uniformly random quadratic residue. Furthermore, fixing the choice of  $r$ , the choices of  $z_i$  induce a uniformly random share vector among all those which sum to  $r^2$ . Similarly, if  $s = 1$  then  $\text{SUM}_w = r^2 w$ . Since  $w$  is a quadratic residue,  $\text{SUM}_w$  is again a uniformly random quadratic residue determined by  $r$ , and the same argument as above applies.
- $C = B_w \setminus \{P_j^{w_j}\}$  for some  $w \in \{0, 1\}^m$  and  $0 \leq j < m$ . That is,  $C$  is a set of size  $m - 1$  such that for exactly one  $j$  it contains neither  $P_j^0$  nor  $P_j^1$ . We claim that in this case the share-vector of the parties in  $C$  is uniformly distributed in  $\mathcal{Z}_p^{m-1}$ , regardless of the secret. It suffices to show that for every secret  $s \in \{0, 1\}$ , every possible value of the share-vector from  $\mathcal{Z}_p^{m-1}$ , and every fixed  $r_0 \in \mathcal{Z}_p^*$ , there exists a unique choice of  $z_0, \dots, z_{m-2}$  generating this value with  $r = r_0$ . This can be verified by inspection of the corresponding system of linear equations over  $\mathcal{Z}_p$ .

□

A generalization of our construction for **NQRP** is described in Appendix A. This generalization will uncover what algebraic properties we use in our construction, and will supply us with a few more examples.

### 3.1. Does NQRP Have an Efficient Linear Secret-Sharing Scheme?.

The access structure **NQRP** we have realized above is related to the problem of deciding quadratic residuosity modulo a prime. We would like to argue that **NQRP** is likely not to be in NC, which would imply in particular that **NQRP** cannot be efficiently realized by linear schemes. We start by describing some known facts about the complexity of the quadratic residuosity problem.

Unlike quadratic residuosity modulo a composite, whose intractability is commonly assumed in cryptography (see [39]), quadratic residuosity modulo a prime can be decided in polynomial time. All known algorithms for this problem are sequential. It is not known if efficient parallel algorithms for this problem exist; that is, the situation is similar to the exponentiation function and the gcd function. There are two types of known algorithms. The first uses Euler's criterion, which states that  $w$  is a quadratic residue modulo an odd prime  $p$  iff  $w^{(p-1)/2} \equiv 1 \pmod{p}$ . Thus, this algorithm requires modular exponentiation. For a survey of algorithms for exponentiation see [40]. The second type of algorithm computes the Jacobi symbol in a way similar to Euclid's algorithm for computing the gcd. For more details see, e.g., [3, Chapter 5]. "Weak" parallel algorithms for checking quadratic residuosity follow from the algorithms of [34] for computing the Jacobi symbol and the algorithm of [1] for exponentiation. More precisely, there is (1) an algorithm that runs in  $O(n/\log \log n)$  time using  $O(n^{1+\epsilon})$  processors [34]; (2) an algorithm that runs in  $O(\log^2 n \log \log n)$  time using  $2^{O(n/\log n)}$  processors [34]; (3) an algorithm that runs in  $O(\log^3 n)$  time using  $2^{O(\sqrt{n \log n})}$  processors [1].

The best known polynomial-size circuit for the quadratic residuosity problem has depth  $O(n/\log \log n)$  where  $n = \log p$  [34]. Thus, given the current state of knowledge on this problem and the related modular exponentiation problem, it is reasonable to assume that they are not in NC. In fact, this assumption (for the exponentiation problem) has been explicitly relied on in [16].

It is easy to see that deciding quadratic residuosity modulo  $p$  can be very effi-

ciently reduced to computing the monotone function defined by  $\mathbf{NQRP}_p$ . However, there is a major difference between the “standard” algorithmic setting for this problem and our setting. Our setting is highly non-uniform, in the sense that with each input length (or number of parties) we associate some *fixed* prime  $p$ . Hence, when computing this access structure one may use a non-uniform polynomial-size “advice” depending on  $p$ . In algorithmic terms, we allow unlimited preprocessing which depends on the prime  $p$  but not on the other input  $w$ . Nevertheless, we do not know how to use this type of preprocessing to obtain an efficient parallel algorithm for the quadratic residuosity problem.<sup>10</sup> (It is interesting to note, however, that deciding quadratic residuosity modulo a *composite* is no more difficult in our setting than deciding quadratic residuosity modulo a prime, since the factorization of the composite may be used as advice.) To conclude, the assumption that  $\mathbf{NQRP} \notin \text{NC}$  is stronger than the assumption that the standard quadratic residuosity problem (or modular exponentiation) is not in NC, although still seems very reasonable given the current state of knowledge.

In light of the uncertain situation described above, one could hope for an unconditional super-polynomial lower bound on a size of a *monotone span program* computing  $\mathbf{NQRP}$ . This would be sufficient for proving that  $\mathbf{NQRP}$  cannot be efficiently realized by linear schemes and, as noted in the introduction, there are explicit monotone functions for which such bounds are known. However, as we argue next, such lower bounds are impossible to prove for the  $\mathbf{NQRP}$  structure, as well as for the access structures considered in Section 4, without proving that  $\text{NC}^1 \neq \text{P}$ . For a fixed  $(m + 1)$ -bit prime  $p$ , the quadratic residuosity function (modulo  $p$ ) is defined as:  $f_p(x_0, \dots, x_{m-1}) = 1$  iff  $\sum_{i=0}^{m-1} x_i 2^i$  is a quadratic residue modulo  $p$ . This function is not monotone. To define the monotone access structure  $\mathbf{NQRP}$  we replaced each literal by two parties, obtaining an access structure with  $2m$  parties. (This is a standard transformation, e.g., when proving that monotone circuit evaluation is P-complete [38].) For technical reasons we also added  $m$  minterms of size two. It follows that the monotone formula size of  $\mathbf{NQRP}_p$  is *equal*, up to an additive  $O(n)$  difference, to the (non-monotone) formula size of the function  $f_p$ . Thus, one cannot expect to prove super-polynomial lower bounds on the size of a monotone span program (or even a monotone formula) for  $\mathbf{NQRP}$ , since they will imply, in particular, super polynomial lower bounds on the (non-monotone) formula size of the quadratic residuosity function.<sup>11</sup>

**4. An Efficient Nonlinear Scheme: The Statistical Case.** In this section we construct an efficient nonlinear secret-sharing scheme whose access structure is as hard as the general quadratic residuosity function. Unlike the previous construction, the scheme we construct below is only statistically private and correct, and its reconstruction procedure is computationally inefficient. In Section 4.1 we show that perfect correctness (but not perfect privacy) can be achieved under a number-theoretic assumption, namely the extended Riemann hypothesis. We end this section by discussing a generalization of our construction which applies to the so-called  $t$ -residuosity problem. As a special case, we obtain an efficient scheme whose access structure is computationally equivalent to the co-primality problem.

---

<sup>10</sup>Preprocessing can parallelize the algorithms for exponentiation when the field size and the exponentiation base are given in advance (see [40]). However, in our case we know in advance the field size and the exponentiation power.

<sup>11</sup>The best known lower bound on the formula size for an explicit function is  $\Omega(n^{3-o(1)})$  [41].

DEFINITION 4.1 (The Access Structure  $\mathbf{NQR}_m$ ). Let  $m$  be a positive integer. We define the  $n$ -party access structure  $\mathbf{NQR}_m$ , where  $n \stackrel{\text{def}}{=} 4m$ , by specifying its collection of minimal sets. It will be convenient in the following to denote the first  $2m$  parties by  $W_i^b$  and the last  $2m$  parties by  $U_i^b$ , where  $b \in \{0, 1\}$  and  $0 \leq i < m$ . With each pair  $(w, u)$ , where  $w, u \in \{0, 1\}^m$ , we naturally associate a subset of parties  $B_{w,u}$  of size  $2m$ , defined by:

$$B_{w,u} \stackrel{\text{def}}{=} \{W_i^{w_i} : 0 \leq i < m\} \cup \{U_i^{u_i} : 0 \leq i < m\}.$$

We will freely identify strings  $w, u$  as above with integers in the interval  $[0, 2^m - 1]$ . A set  $B$  is a minimal set of  $\mathbf{NQR}_m$  if:

1.  $B = \{W_i^0, W_i^1\}$  or  $B = \{U_i^0, U_i^1\}$  for some  $0 \leq i < m$ , or:
2.  $B = B_{w,u}$  for some  $w, u$  such that  $w$  is not a quadratic residue modulo  $u$ .  
(For technical reasons, we assume here that this condition never holds when  $u = 1$ , and always holds when  $u = 0$  except when  $w = 1$ .)

We let  $\mathbf{NQR}$  denote the family of access structures in which the  $n$ th structure is  $\mathbf{NQR}_{\lfloor n/4 \rfloor}$ .

We start by observing that the computational complexity of the access structure  $\mathbf{NQR}$  is essentially the same as that of the general quadratic residuosity problem.

CLAIM 4.2. The circuit complexity of  $\mathbf{NQR}$  is the same, up to an  $O(n)$  difference, as that of the language

$$\{(w, u) : |w| = |u| \text{ and } w \text{ is a quadratic residue modulo } u\}.$$

It follows that, under the Quadratic Residuosity Assumption [39], computing  $\mathbf{NQR}$  requires circuits of super-polynomial size. The remainder of this section will be devoted to proving the existence of an efficient nonlinear secret-sharing scheme for  $\mathbf{NQR}$ . Specifically, we show:

THEOREM 4.3. There exists a statistical secret-sharing scheme for  $\mathbf{NQR}_m$  in which:

- the secret-domain is  $\{0, 1\}$ ;
- the share size of each party is  $O(k^2 + km)$  (where  $k$  is the security parameter);
- the reconstruction error probability is  $2^{-k}$ ;
- the privacy level is  $\epsilon(k) = O(k/2^k)$ .

Our secret-sharing scheme for  $\mathbf{NQR}_m$  proceeds as follows. Let  $D \stackrel{\text{def}}{=} 2^{4m+3k+1}$ . In the following, all arithmetic operations will be performed in  $\mathcal{Z}_D$ . The dealer chooses  $z_0, z_1, \dots, z_{2m-1} \in \mathcal{Z}_D$  at random subject to the restriction that they sum to 0. In addition, it chooses two random integers  $1 \leq r \leq 2^{m+k}$  and  $1 \leq r' \leq 2^{3(m+k)}$ . Each party receives a single element of  $\mathcal{Z}_D$ , as specified in Table 4.1. For amplifying

	$s = 0$	$s = 1$
$W_0^b$ , where $b \in \{0, 1\}$	$r^2 + z_0$	$br^2 + z_0$
$W_i^b$ , where $1 \leq i < m$ , $b \in \{0, 1\}$	$z_i$	$2^i br^2 + z_i$
$U_i^b$ , where $0 \leq i < m$ , $b \in \{0, 1\}$	$2^i br' + z_{i+m}$	$2^i br' + z_{i+m}$

TABLE 4.1  
A secret-sharing scheme for  $\mathbf{NQR}_m$ .

the correctness probability, the above distribution procedure should be independently

Let  $\text{SUM}_{w,u}$  be the sum of the  $2m$  shares held by parties in  $B_{w,u}$ .  
 If  $\gcd(w, u) = 1$  then (\*  $w$  is a quadratic non-residue modulo  $u$  \*)  
     If  $\text{SUM}_{w,u}$  is a quadratic residue modulo  $u$  then  $s = 0$  else  $s = 1$   
 If  $\gcd(w, u) \neq 1$  then  
     Let  $c = \gcd(w, u)$   
     If  $c$  divides  $\text{SUM}_{w,u}$  then  $s = 1$  else  $s = 0$

FIG. 4.1. Reconstruction Procedure for  $B_{w,u}$  in  $\mathbf{NQR}_m$ .

repeated  $k$  times, so that each party receives  $k$  elements of  $\mathcal{Z}_D$ . In addition, the minimal authorized sets of size 2 should be taken care of separately, by independently sharing  $s$  among each such authorized pair (that is, for each such pair choose an independent random bit  $\alpha$ , and give  $\alpha$  to the first party and  $\alpha \oplus s$  to the second).<sup>12</sup> This only adds a single bit to the size of each share. The following analysis will mostly focus on the core of the scheme, as described in Table 4.1.

*Statistical correctness.* The minimal authorized sets of size 2 were explicitly taken care of in the above construction. It thus remains to prove the correctness for a subset  $B_{w,u}$ , where  $w$  is not a quadratic residue modulo  $u$ . The following lemma, which can be verified by inspection of Table 4.1, is used to show how to reconstruct the secret.

LEMMA 4.4. *Let  $\text{SUM}_{w,u}$  be the sum of the  $2m$  shares held by parties in  $B_{w,u}$ . Then,  $\text{SUM}_{w,u} = r^2w^s + r'u$  for any  $0 \leq w, u < 2^m$  and secret  $s \in \{0, 1\}$ .*

Note that by our choice of parameters, the expression  $r^2w^s + r'u$  in Lemma 4.4 is always less than  $D$ . We will therefore treat this expression as being evaluated over the integers.

If  $r$  was chosen such that  $\gcd(r, u) = 1$  then the correctness would follow from similar arguments to those of the proof for  $\mathbf{NQR}$  (that is, the secret is reconstructed by checking if the sum of shares is a quadratic residue modulo  $u$ ). However, since here  $u$  is not fixed, we cannot guarantee that the above condition always holds. Nevertheless, this already implies that the secret can be correctly reconstructed from the shares in Table 4.1 with a one-sided error probability of at most  $1 - \varphi(u)/u$ , which is bounded away from 1 (that is, it is  $1 - O(1/\log \log u)$ ). The following tighter analysis, which does not assume that  $\gcd(r, u) = 1$ , shows that the one-sided error probability of reconstruction is at most  $1/2$ . Hence, with  $k$  independent repetitions the error probability is at most  $2^{-k}$ . In Fig. 4.1 we present the reconstruction procedure and then prove its correctness.

From now on, we assume that  $u \geq 2$  (the case that  $u = 0$  and  $w \neq 1$  can be verified separately). Suppose first that  $\gcd(w, u) = c > 1$ , and let  $c' > 1$  be a prime dividing  $c$ . In this case,  $c$  always divides  $r^2w + r'u$ , whereas  $c$  divides  $r^2 + r'u$  implies that  $c'$  divides  $r$ . Thus, with probability at least  $1 - 1/c' \geq 1/2$ , the gcd  $c$  does not divide  $r^2 + r'u$ . It follows that when  $\gcd(w, u) > 1$  the case  $s = 0$  can be distinguished from the case  $s = 1$  with a one-sided error probability of at most  $1/2$ , as described above.

Now suppose that  $w$  is a quadratic non-residue modulo  $u$ . In this case,  $r^2 + r'u \equiv r^2 \pmod{u}$  is always a square modulo  $u$ . This implies that  $\text{SUM}_{w,u}$  is a quadratic residue when  $s = 0$ . The following lemma shows that with probability at least  $1/2$ , this is not the case for  $r^2w + r'u$ , i.e., when  $s = 1$ .

<sup>12</sup>In fact, as in the previous construction this additional sharing is unnecessary for sets of the form  $\{W_i^0, W_i^1\}$ .

LEMMA 4.5. *Suppose that  $w$  is a quadratic non-residue modulo  $u$  (in particular,  $\gcd(w, u) = 1$ ). Then, the probability that  $r^2 w$  is a quadratic residue modulo  $u$  is at most  $1/2$ .*

*Proof.* By the Chinese Remainder Theorem, a number is a quadratic-residue modulo  $u$  if and only if it is a quadratic-residue modulo each prime power dividing  $u$ . Thus, there exists a prime power  $p^\alpha$  dividing  $u$  such that  $w$  is a quadratic non-residue modulo  $p^\alpha$ . Now, if  $w r^2$  is a square modulo  $u$ , then it is also a square modulo  $p^\alpha$ , and so there exists  $d$  such that  $d^2 \equiv w r^2 \pmod{p^\alpha}$ . We argue that it must be the case that  $p$  divides  $r$ . Otherwise,  $r$  has an inverse modulo  $p^\alpha$  and  $w \equiv (d/r)^2 \pmod{p^\alpha}$  contradicting the fact that  $w$  is a quadratic non-residue modulo  $p^\alpha$ . The lemma follows by noting that the probability that  $p$  divides  $r$  is at most  $1/p \leq 1/2$ , as required.  $\square$

This concludes the analysis of the reconstruction procedure described above. Note that this reconstruction procedure is computationally inefficient if the factorization of  $u$  is unknown.

*Statistical privacy.* We now prove the privacy of our construction. As before, it suffices to consider maximal unauthorized sets of two types. The first type consists of sets  $C$  such that  $|C| < 2m$  and  $C$  does not contain a pair  $W_i^0, W_i^1$  or a pair  $U_i^0, U_i^1$ . For such a set  $C$ , it can be verified that the shares received by its parties are uniformly and independently distributed over  $\mathcal{Z}_D$ , regardless of the secret  $s$ .

We turn to the more interesting case of a set  $C = B_{w,u}$  such that  $u \geq 2$  and  $w$  is a quadratic residue modulo  $u$ . (The cases  $u = 1$  and  $u = 0, w = 1$  can be verified separately.) When  $s = 0$  the shares are random subject to the restriction that their sum is  $r^2 + r'u$ , and when  $s = 1$  the shares are random subject to the restriction that their sum is  $r^2 w + r'u$ . Thus, it suffices to show that in this case  $\text{SD}(r^2 + r'u, r^2 w + r'u) = O(2^{-k})$ . We prove this using the following lemmas. In the lemmas we denote by  $r$  and  $r'$  the random variables used in the scheme (taking uniform integral values from the intervals  $[1, 2^{m+k}]$  and  $[1, 2^{3(m+k)}]$ , respectively). For the proof we also use an additional random variable  $r_u$  which is a uniformly distributed integer in  $[0, u - 1]$ .

LEMMA 4.6. *If  $w$  is a quadratic residue modulo  $u$ , then the distribution of  $(w r_u^2) \pmod{u}$  is identical to that of  $r_u^2 \pmod{u}$ .*

*Proof.* Since  $w$  is a quadratic residue modulo  $u$ , there exists  $b$  such that  $\gcd(b, u) = 1$  and  $b^2 \equiv w \pmod{u}$ . Since  $w r_u^2 \equiv (b r_u)^2 \pmod{u}$ , it suffices to show that  $(b r_u) \pmod{u}$  is identically distributed to  $r_u \pmod{u} = r_u$ . Finally, since  $\gcd(b, u) = 1$ , i.e.,  $b$  has an inverse modulo  $u$ , then  $\Pr[b r_u \equiv \beta] = \Pr[r_u \equiv (\beta/b)] = 1/u$  for every value  $\beta$ .  $\square$

LEMMA 4.7.  $\text{SD}(r^2 \pmod{u}, r_u^2 \pmod{u}) \leq 2^{-k}$ .

*Proof.* Recall that  $r$  is chosen uniformly from the interval  $[1, 2^{m+k}]$ . If  $u$  divides  $2^{m+k}$  then the above two distributions are identical. Otherwise, the contribution of each  $y \in [0, u - 1]$  to this distance is at most  $1/2^{m+k}$ , and since  $u < 2^m$  the total contribution is at most  $2^m/2^{m+k} = 2^{-k}$ .  $\square$

From the previous two lemmas, we may conclude that

$$(4.1) \quad \text{SD}(w r^2 \pmod{u}, r^2 \pmod{u}) = O(2^{-k}).$$

Now, define the multisets

$$V = \{w r^2 \pmod{u} : 1 \leq r \leq 2^{m+k}\}$$

and

$$Z = \{r^2 : 1 \leq r \leq 2^{m+k}\}.$$

Let  $Z'$  be a maximal multiset such that  $Z' \subseteq Z$  and  $Z' \bmod u \stackrel{\text{def}}{=} \{z \bmod u : z \in Z'\} \subseteq V$ . It follows from Eq. (4.1) that  $|Z'| = (1 - O(2^{-k}))|Z|$ . Define  $S = Z' \cup (V \setminus (Z' \bmod u))$ . Note that  $|S| = |V| = 2^{m+k}$ . We will denote the elements of  $S$  by  $y_1, \dots, y_{2^{m+k}}$  and the uniform distribution over  $S$  by  $Y$ . It follows from the above that  $Y$  satisfies: (1)  $\text{SD}(Y, r^2) = O(2^{-k})$ ; (2) the distribution of  $Y \bmod u$  is *identical* to that of  $wr^2 \bmod u$ ; and (3)  $Y \leq 2^{2(m+k)}$ .

We would like to conclude that  $\text{SD}(wr^2 + r'u, r^2 + r'u) = O(2^{-k})$ . To this end, we use the following lemma.

**LEMMA 4.8.** *Let  $y, z$  be two integers in some interval  $[0, M]$  such that  $y \equiv z \bmod u$ , and let  $R$  be uniformly distributed in the interval  $[1, MK]$ . Then,  $\text{SD}(y + Ru, z + Ru) \leq 1/K$ .*

*Proof.* The statistical distance is bounded by  $|y - z|/(uMK) \leq M/(uMK) < 1/K$ .  $\square$

We are now ready to complete the proof of privacy. From Property (1) of  $Y$  it follows that

$$(4.2) \quad \text{SD}(Y + r'u, r^2 + r'u) = O(2^{-k}).$$

From Property (2) of  $Y$ , we may assume that  $y_r \equiv wr^2 \bmod u$  for every  $1 \leq r \leq 2^{m+k}$ . Letting  $M = 2^{3m+2k}$  and  $K = 2^k$ , both  $Y$  and  $wr^2$  are no larger than  $M$ , and  $r'$  is uniform in  $[1, MK]$ . Since

$$\text{SD}(Y + r'u, wr^2 + r'u) \leq E_r[\text{SD}(y_r + r'u, wr^2 + r'u)]$$

it follows from Lemma 4.8 that

$$(4.3) \quad \text{SD}(Y + r'u, wr^2 + r'u) \leq 2^{-k}.$$

Combining Eq. (4.2) and Eq. (4.3) we get that  $\text{SD}(wr^2 + r'u, r^2 + r'u) = O(2^{-k})$ , as required.

As explained above, to reduce the error probability in the reconstruction from  $1/2$  to  $2^{-k}$  we share the secret independently  $k$  times. By standard arguments, this can only increase the statistical distance to  $O(k/2^k)$ , which is still negligible in  $k$ .

**4.1. A Perfectly Correct Scheme.** In this section we show that under the Extended Riemann Hypothesis (abbreviated ERH), one can obtain a variant of the above scheme which is *perfectly* correct, though still only statistically private. (It is open if there is a scheme with perfect correctness and privacy which efficiently realizes **NQR**.) The only required modification is the choice of  $r$ : instead of choosing it uniformly from the interval  $[1, 2^{m+k}]$ , it is chosen as a random *prime* from the interval  $[2^m, 2^{m+k}]$ . Since  $u < 2^m$ , this guarantees that  $r$  is relatively prime to  $u$ , and this in turn is sufficient to guarantee perfect correctness. We next argue that under the ERH, the resulting scheme is statistically private.

We will need the following results on the distribution of primes. For more information on this subject the reader might consult, e.g., [3, Chapter 8]. For an integer  $x$  let  $\pi(x)$  be the number of primes in the interval  $[1, x]$ , and for integers  $x, w$  and  $u$  let  $\pi(x, u, w)$  be the number of primes in the interval  $[1, x]$  that are congruent to  $w \bmod u$ . It is known that  $\pi(x) \approx x/\log x$ . If  $\text{gcd}(w, u) > 1$  then every number that is congruent to  $w \bmod u$  is a composite. It turns out that the primes are nearly uniformly distributed among the other residue classes modulo  $u$ . That is, if  $\text{gcd}(w, u) = 1$  then  $\pi(x, u, w) \approx \frac{1}{\varphi(u)}x/\log x$ , where  $\varphi(u)$  is the Euler function of  $u$ .

We will need good bounds on the error terms in the above approximations. The bounds that can be proved unconditionally are too crude for our purpose, and we will need bounds based on the the Extended Riemann Hypothesis. Proving this famous hypothesis is one of the most important open questions in mathematics. We will not formulate the statement of this hypothesis, and only state the following conclusion from the ERH. The estimations that are used to derive the next theorem are presented in Appendix B, where it is also shown how to derive Theorem 4.9 from these estimations.

**THEOREM 4.9.** *If the ERH holds and  $\gcd(w, u) = 1$  then for every  $x$  and  $x'$ , where  $u \leq x' \leq \sqrt{x}$ ,*

$$\left| \frac{\pi(x, u, w) - \pi(x', u, w)}{\pi(x) - \pi(x')} - \frac{1}{\varphi(u)} \right| = O\left(\frac{\log^2 x}{\sqrt{x}}\right),$$

where the constant in the “ $O$ ” notation is an absolute constant independent of  $w$ ,  $u$ , and  $x$ .

Notice that  $\frac{\pi(x, u, w) - \pi(x', u, w)}{\pi(x) - \pi(x')}$  is the probability that a uniformly random prime in the interval  $[x', x]$  is congruent to  $u$  modulo  $w$ . Thus, the above theorem states that this probability is close to the probability that a uniformly random element from  $\mathcal{Z}_u^*$  is equal to  $w$ .

**COROLLARY 4.10.** *Let  $u < 2^m$ ,  $U$  be a random variable distributed uniformly in  $\mathcal{Z}_u^*$ , and  $r$  be a uniformly chosen prime in the interval  $[2^m, 2^{m+k}]$ . If ERH holds then  $\text{SD}(U, r \bmod u) \leq 2^{-\Omega(k)}$  for every  $k$  and  $m$  such that  $k \geq 3m$ , and in particular  $\text{SD}(U^2 \bmod u, r^2 \bmod u) \leq 2^{-\Omega(k)}$ .*

*Proof.*

$$\begin{aligned} \text{SD}(U, r \bmod u) &= \frac{1}{2} \sum_{y \in \mathcal{Z}_u^*} |\Pr[U = y] - \Pr[r \bmod u = y]| \\ &\leq \varphi(u) \cdot O\left(\frac{(m+k)^2}{\sqrt{2^{m+k}}}\right) \\ &= O\left(\frac{2^m(m+k)^2}{2^{0.5(m+k)}}\right) = 2^{-\Omega(k)}. \end{aligned}$$

The last equality holds since  $k \geq 3m$ .  $\square$

To guarantee that the statistical distance decreases exponentially with the security parameter *independently of  $m$* , we execute the scheme with  $k' = \max(k, 3m)$ . Closely following the privacy proof of the previous protocol (and replacing Lemma 4.7 with Corollary 4.10), one can show that the scheme is statistically private with  $\epsilon(k) = 2^{\Omega(-k)}$ . The next theorem summarizes the properties of this scheme.

**THEOREM 4.11.** *If ERH holds, then there exists a statistical secret-sharing scheme for  $\mathbf{NQR}_m$  with perfect correctness in which:*

- *the secret-domain is  $\{0, 1\}$ ;*
- *the share size of each party is  $O(k + m)$ ;*
- *the privacy level is  $\epsilon(k) = 2^{-\Omega(k)}$ .*

**4.2. Schemes for  $t$ -Residuosity.** The quadratic residuosity problem naturally generalizes to the  $t$ -residuosity problem defined as follows. An integer  $w$  is a  $t$ -residue modulo  $u$  if  $\gcd(w, u) = 1$  and there exists an integer  $b$  such that  $w \equiv b^t \pmod{u}$ . The access structure  $\mathbf{NtR}$  is defined as the access structure  $\mathbf{NQR}$ , with quadratic residuosity replaced by  $t$ th residuosity.

A scheme for  $\mathbf{NtR}$  can be obtained by the following small modification to the scheme for  $\mathbf{NQR}$ : the ring size  $D$  is changed to  $2^{(t+2)m+(t+1)k+1}$ , the random string  $r'$  is chosen with uniform distribution from  $[1, 2^{(t+1)(t+k)}]$ , and in the dealer's distribution procedure we replace  $r^2$  by  $r^t$ . The correctness and privacy of the modified scheme are argued similarly to the original scheme. These modification also work in the scheme based on the ERH.

An interesting special case of the general scheme is when  $t = 1$ . In the resultant scheme,  $B_{w,u}$  can reconstruct the secret iff the integers  $w$  and  $u$  are not co-primes (i.e.,  $\gcd(w,u) > 1$ ). Hence, its access structure is computationally equivalent to the co-primality problem. Checking if two integers are co-primes is clearly in P, and it is not known to be in NC. The best parallel algorithms for the co-primality problem compute the gcd. The question if the gcd can be computed in parallel, that is, with polylogarithmic time and polynomial number of processors, was first raised by Cook [25] and is still open. Parallel algorithms with sub-linear time, namely  $O(n/\log n)$  time, and polynomial number of processors were presented by [44, 24, 56]. Parallel algorithms with polylogarithmic time and sub-exponential number of processors were presented by [1]. An important feature of this instance of the general construction is that it is computationally efficient: indeed, reconstruction only requires checking if  $\gcd(w,u)$  divides  $\text{SUM}_{w,u}$ .

**5. Quasi-Linear Secret-Sharing.** In this section we study a natural extension of the class of linear secret-sharing schemes to what we call *quasi-linear* schemes. Quasi-linear schemes are obtained by *composing* a finite number of linear secret-sharing schemes, possibly over different fields.

Towards defining quasi-linear schemes, it will be convenient to use the following notation for extending the secret-domain of a given secret-sharing scheme to an arbitrarily large finite domain.

**DEFINITION 5.1.** *Let  $\Pi$  be a secret-sharing scheme with secret-domain  $S$  and share-domains  $S_0, \dots, S_{n-1}$ , let  $T = \{0, 1, \dots, |T| - 1\}$  be any finite secret-domain, and let  $\ell = \lceil \log_{|S|} |T| \rceil$ . Then, by  $\tilde{\Pi}_T$  we denote the randomized mapping from  $T$  to  $S_0^\ell \times \dots \times S_{n-1}^\ell$  defined as follows. For a secret  $t \in T$ , let  $(t_1, \dots, t_\ell)$  denote its base- $|S|$  representation, where  $t_i \in S$  for all  $i$ . The output of  $\tilde{\Pi}_T(t)$  is obtained by independently applying  $\Pi$  to each  $t_i$  and letting the  $i$ th entry of the output be the concatenation of the  $i$ th entries from the  $\ell$  outputs of  $\Pi$ .*

As can be easily seen,  $\tilde{\Pi}_T$  defines a secret-sharing scheme realizing the same access structure as  $\Pi$ , whose secret-domain is  $T$  and whose share-complexity is  $\ell = \lceil \log_{|S|} |T| \rceil$  times that of  $\Pi$ . We are now ready to formally define the notion of quasi-linear schemes.

**DEFINITION 5.2 (Quasi-Linear Secret-Sharing).** *An  $n$ -party quasi-linear secret-sharing scheme is recursively defined as follows:*

1. *Any  $n$ -party linear secret-sharing scheme is an  $n$ -party quasi-linear scheme.*
2. *Suppose that  $\Pi$  is an  $n'$ -party linear scheme over a field  $F$  with share-domains  $S_0, \dots, S_{n'-1}$ , and let  $\Pi^0, \dots, \Pi^{n'-1}$  be  $n$ -party quasi-linear schemes. Then, define an  $n$ -party quasi-linear secret-sharing scheme  $\Pi(\Pi^0, \dots, \Pi^{n'-1})$  with secret-domain  $F$  as follows. To share  $s \in F$ , first apply  $\Pi(s)$  to obtain shares  $s_0, \dots, s_{n'-1}$ . Then, identifying each share-domain  $S_i$  with the set  $\{0, 1, \dots, |S_i| - 1\}$ , independently share each  $s_i$  among the  $n$  parties using  $\Pi_{S_i}^{t_i}$ .*

It is convenient to view an  $n$ -party quasi-linear scheme  $\Pi$  as a tree, in which every node contains a linear secret-sharing scheme. Associating each linear scheme with its

corresponding monotone span program, we may view this tree as a Boolean formula  $\varphi_\Pi$  over the basis of all monotone span programs (over all finite fields);<sup>13</sup> that is, each gate in the formula computes the Boolean function computed by a monotone span program. For brevity we refer to such a formula as an *MSP-formula*.

The following proposition establishes the correspondence between a quasi-linear scheme and its associated MSP-formula. Its proof is a generalizing the proof for the AND-OR-Threshold formula construction from [10].

**PROPOSITION 5.3.** *Let  $\Pi$  be a quasi-linear secret-sharing scheme and  $\varphi_\Pi$  be the corresponding MSP-formula. Then,  $\Pi$  realizes the access structure computed by  $\varphi_\Pi$ .*

The scheme  $\Pi(\Pi^0, \dots, \Pi^{n'-1})$  from Case (2) in Definition 5.2 is just the standard definition of composition of  $\Pi$  with  $\Pi^0, \dots, \Pi^{n'-1}$ , thus, a formal proof of Proposition 5.3 follows, by induction, from, e.g., [47, 48].

Beimel and Weinreb [8] proved that quasi-linear schemes are strictly stronger than linear schemes. More precisely, they proved that there are explicit functions that have small quasi-linear schemes, however require linear schemes of size  $n^{\Omega(\log n)}$ . We show next that quasi-linear schemes cannot be too powerful. More specifically, if there is an efficient quasi-linear scheme for  $f$  then  $f$  can be computed by a shallow circuit. The idea of the proof is to consider the corresponding MSP-formula  $\varphi$ . We use a result of [5] showing that a formula  $\varphi$  over a general basis can be “balanced” to obtain an equivalent formula whose depth is small and its size is not too big (this is a generalization of the well-known result from [57] for bounded fan-in formulae over the standard basis). An instantiation of this result which is useful for our purposes is quoted in the following lemma.

**LEMMA 5.4 (Beigel and Fu [5]).** *Let  $\varphi$  be a MSP-formula. Then, there exists a MSP-formula  $\hat{\varphi}$  such that: (1)  $\hat{\varphi}$  computes the same function as  $\varphi$ ; (2) the depth of  $\hat{\varphi}$  is  $O(\log(\text{size}(\varphi)))$ ; (3) the size of  $\hat{\varphi}$  is  $\text{size}(\varphi)^{O(1)}$ ; and (4) each node of  $\hat{\varphi}$  is either labeled by some span program appearing in  $\varphi$ , or is labeled by an AND, OR, or NOT gate.*

**THEOREM 5.5.** *Suppose that  $f$  is efficiently realized by quasi-linear schemes. Then,  $f \in \text{NC}^4$ .*

*Proof.* Let  $\Pi$  be an efficient quasi-linear scheme realizing  $f$ , and let  $\varphi$  be the corresponding MSP-formula. We may assume without loss of generality that the span program labeling each *internal* node of  $\varphi$  depends on all of its inputs, and has at least two inputs; otherwise  $\Pi$  could be simplified into a quasi-linear scheme  $\Pi'$  whose MSP-formula  $\varphi'$  satisfies this property. As the number of leaves in such a  $\varphi$  is a lower bound on the complexity of  $\Pi$  (and the degree of each internal node of  $\varphi$  is at least 2),  $\varphi$  must be of size  $\text{poly}(n)$ . It also follows that each node  $v$  of  $\varphi$  must be labeled by a *polynomial-size* monotone span program  $M_v$  over a field  $\text{GF}(q_v)$  such that  $\log q_v = \text{poly}(n)$ .<sup>14</sup> By Lemma 2.7, the function  $f_v$  computed by  $M_v$  can be simulated by a Boolean circuit of size  $\text{poly}(n)$  and depth  $O(\log^3 n)$ . The theorem follows by applying Lemma 5.4 to  $\varphi$  and replacing each node in  $\hat{\varphi}$  by a corresponding  $\text{NC}^3$  circuit.  $\square$

We conclude this section by showing an application of quasi-linear schemes for the construction of secret-sharing schemes efficiently realizing monotone span programs

<sup>13</sup>An input variable is viewed as a size-1 monotone span program in the variables  $x_0, \dots, x_{n-1}$  returning its value.

<sup>14</sup>The converse does not hold. It is easy to construct a polynomial-size MSP-formula (even a shallow one) which is efficient in this sense, but whose corresponding quasi-linear scheme is inefficient.

over a ring  $\mathcal{Z}_u$ , where  $u$  is a square-free composite.<sup>15</sup>

**THEOREM 5.6.** *Let  $\widehat{M} = \langle M, \rho, \vec{v} \rangle$  be a monotone span program over  $\mathcal{Z}_u$ , where  $u$  is the product of  $k$  distinct primes  $p_1, \dots, p_k$ . Then, there exists a quasi-linear scheme  $\Pi_M$  realizing the access structure defined by  $M$ , whose share-complexity is  $\text{size}(M) \cdot \sum_{j=1}^k \lceil \log p_j \rceil = O(\text{size}(M) \cdot \log u)$ .*

*Proof.* The scheme  $\Pi_M$  is defined by the following depth-2 MSP-formula  $\varphi_M$ . The root contains an AND gate with fan-in  $k$  (represented by a size- $k$  monotone span program over  $\text{GF}(2)$ ). The  $j$ th leaf,  $1 \leq j \leq k$ , contains a monotone span program  $\widehat{M}_j = \langle M_j, \rho, \vec{v}_j \rangle$  over  $\text{GF}(p_j)$ , obtained from  $\widehat{M}$  by reducing each of  $M$  and  $\vec{v}$  entries modulo  $p_j$ . By Proposition 5.3, to prove that  $\Pi_M$  indeed realizes the access structure defined by  $\widehat{M}$  it suffices to show that  $\varphi_M$  computes the same function as  $\widehat{M}$ . Indeed, if  $M(x) = 1$ , then clearly  $M_j(x) = 1$  for all  $j$  (as witnessed by the same linear combination, modulo  $p_j$ ). The converse follows by applying the Chinese Remainder Theorem to the  $k$  linear combination vectors witnessing that  $M_j(x) = 1$ , where  $1 \leq j \leq k$ .<sup>16</sup>  $\square$

**EXAMPLE 5.7.** Fig. 5.1 shows an efficient span program over  $\mathcal{Z}_u$  for testing whether the input  $x$  (viewed as an integer) is co-prime to  $u$ . Replacing each negative literal with a new variable, we get a *monotone* span program for an access structure whose complexity is equivalent to deciding whether  $x$  is co-prime to some *fixed* integer  $u$ .<sup>17</sup> Using Theorem 5.6, we get a very efficient quasi-linear scheme for this access structure. We note that the scheme from Section 4.2 is stronger in the sense that it efficiently applies to the standard co-primality problem (with no fixed inputs). However, this scheme only realizes the relaxed notion of statistical secret-sharing.

$\bar{x}_0$	0	1	0	0	$\dots$	0	0	0
$x_0$	1	1	0	0	$\dots$	0	0	0
$\bar{x}_1$	0	-1	1	0	$\dots$	0	0	0
$x_1$	2	-1	1	0	$\dots$	0	0	0
$\vdots$	$\vdots$				$\ddots$			$\vdots$
$\bar{x}_{n-2}$	0	0	0	0	$\dots$	0	-1	1
$x_{n-2}$	$2^{n-2}$	0	0	0	$\dots$	0	-1	1
$\bar{x}_{n-1}$	0	0	0	0	$\dots$	0	0	-1
$x_{n-1}$	$2^{n-1}$	0	0	0	$\dots$	0	0	-1
target	1	0	0	0	$\dots$	0	0	0

FIG. 5.1. A span program over  $\mathcal{Z}_u$  testing whether  $\text{gcd}(x, u) = 1$ .

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<sup>15</sup>Span programs over rings are defined in a completely analogous way to span programs over fields.

<sup>16</sup>If  $\vec{v}_j = \vec{0}$  for some  $j$ , then  $\widehat{M}_j$  should accept every input (as witnessed by the trivial combination of rows). However, in the definition of span programs we require that the target vector is a non-zero vector. Thus,  $\Pi_M$  has a leaf for every  $j$  such that  $\vec{v}_j \neq \vec{0}$ .

<sup>17</sup>Whether  $x$  is co-prime to  $u$  can be tested in  $\text{NC}^1$  given an advice depending on  $u$  (namely, its factorization). Hence, there exist efficient linear secret-sharing schemes for this access structure. Still, the exact efficiency of the quasi-linear scheme is much better. See Example A.2 for an efficient nonlinear realization which does not rely on the factorization of  $u$ .

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### Appendix A. A Generalization of the Scheme from Section 3.

In this section we show how to generalize the scheme for **NQRP** to similar access structures. This generalization will uncover what algebraic properties we use in our construction, and will supply us with a few more examples.

Let  $R = \langle A, +, * \rangle$  be a finite ring and  $B \subseteq A \setminus \{0\}$  be such that  $G = \langle B, * \rangle$  is a group.<sup>18</sup> In the sequence all arithmetic operations involving ring elements are performed in the ring. We assume that  $2 * a \neq 0$  for every  $a \in R \setminus \{0\}$ . We Define the access structure  $\mathcal{A}_{R,G}$  in a similar way to **NQRP**.

DEFINITION A.1 (The access structure  $\mathcal{A}_{R,G}$ ). *Let  $m \stackrel{\text{def}}{=} \lceil \log |R| \rceil$ . We define the  $n$ -party access structure  $\mathcal{A}_{R,G}$ , where  $n \stackrel{\text{def}}{=} 2m$ , by specifying its collection of minimal sets. With an integer  $w \in \{0, 1\}^m$  we naturally associate a set  $B_w$  of size  $m$  defined by:*

$$B_w \stackrel{\text{def}}{=} \{P_i^{w_i} : 0 \leq i < m\}.$$

A set  $B$  is a minimal set of  $\mathcal{A}_{R,G}$  if:

- $B = \{P_i^0, P_i^1\}$  for some  $0 \leq i < m$ , or:
- $B = B_w$  for some  $w \in \{0, 1\}^m$  such that  $w \notin G$ .

We next show how to generalize the scheme for **NQRP** to a scheme for  $\mathcal{A}_{R,G}$ .

*Distribution.* The dealer chooses at random  $m-1$  random elements  $z_0, \dots, z_{m-2} \in R$  and an additional random element  $r \in G$ . Define  $z_{m-1} \stackrel{\text{def}}{=} -\sum_{i=0}^{m-2} z_i$ . The shares of the parties are specified in Table A.1.

	$s = 0$	$s = 1$
$P_0^b$ , where $b \in \{0, 1\}$	$r + z_i$	$br + z_i$
$P_i^b$ , where $1 \leq i < m$ , $b \in \{0, 1\}$	$z_i$	$2^i br + z_i$

TABLE A.1  
A secret-sharing scheme for  $\mathcal{A}_{R,G}$ .

The reconstruction is similar to the scheme for **NQRP**, where if  $B = B_w$  for some  $w \notin G$ , then  $s = 0$  iff  $\text{SUM}_w \in G$ . The correctness of this rule follows from the fact that if  $w \notin G$  and  $b \in G$  then  $w * b \notin G$ .

For the security, we only consider the case where  $C = B_w$  for some  $w \in G$ . (The first case is identical to the scheme for **NQRP**.) In this case we claim that, regardless

<sup>18</sup>We even do not need all the properties of these algebraic structures.

of the value of the secret, the vector-share of the parties in  $C$  is a random vector such that  $\text{SUM}_w \in G$ . This is clearly true when  $s = 0$ . When  $s = 1$ , the sum  $\text{SUM}_w$  is  $r \sum_{i=0}^{m-1} w_i 2^i = rw$ , and since  $r$  is a random element of  $G$  and  $w$  has an inverse in  $G$ , the product is a random element of  $G$ .

We next show a few examples of access structures.

EXAMPLE A.2. Let  $N$  be a positive integer,  $R = \langle \mathcal{Z}_N, +, * \rangle$ , and  $G = \langle \mathcal{Z}_N^*, * \rangle$ . In this case, an efficient linear scheme for  $\mathcal{A}_{R,G}$  exists (see Footnote 17). A quasi-linear scheme for this access structure is described in Example 5.7. However, both the linear and the quasi-linear schemes require knowing the factorization of  $N$ . The nonlinear scheme does not require knowledge of the factorization, and all the computations involved are efficient.

EXAMPLE A.3. Let  $p$  be a prime,  $R = \langle \mathcal{Z}_{p^2}, +, * \rangle$ ,

$$B = \{w \in \mathcal{Z}_{p^2} : w^{p-1} \equiv 1 \pmod{p^2}\},$$

and  $G = \langle B, * \rangle$ . In this case we do not know if there is a quasi-linear scheme for  $\mathcal{A}_{R,G}$ , or even if  $\mathcal{A}_{R,G}$  is in NC.

### Appendix B. Explicit Estimates implied by ERH.

The next theorem gives explicit bounds on the error term in the approximation of the distribution of the primes.

THEOREM B.1. Let  $\text{li}(x) \stackrel{\text{def}}{=} \int_2^x \frac{dt}{\log t}$ . If the ERH holds then for  $x \geq 2657$ :

$$(B.1) \quad \frac{x}{\log x + 2} < \pi(x) < \frac{x}{\log x - 4}. \quad [3, \text{Theorem 8.8.1}]$$

and

$$(B.2) \quad |\pi(x) - \text{li}(x)| \leq \sqrt{x} \log x / 8\pi. \quad [3, \text{Page 249}]$$

Moreover, if ERH holds,  $u \leq x$ , and  $\text{gcd}(w, u) = 1$  then

$$(B.3) \quad \left| \pi(x, u, w) - \frac{\text{li}(x)}{\varphi(u)} \right| \leq \sqrt{x} (\log x + 2 \log u) \\ \leq 3\sqrt{x} \log x. \quad [3, \text{Theorem 8.8.18}.]$$

We next show how we derive Theorem 4.9 from Theorem B.1. That is, we prove that if the ERH holds and  $\text{gcd}(w, u) = 1$  then for large  $x$  and  $x'$ , where  $u \leq x' \leq \sqrt{x}$ ,

$$\left| \frac{\pi(x, u, w) - \pi(x', u, w)}{\pi(x) - \pi(x')} - \frac{1}{\varphi(u)} \right| = O\left(\frac{\log^2 x}{\sqrt{x}}\right).$$

First, by (B.1) and since  $\pi(x') \leq x' \leq \sqrt{x}$ ,

$$(B.4) \quad \pi(x) - \pi(x') > \frac{x}{\log x + 2} - \sqrt{x} > \frac{x}{2 \log x}.$$

Second, by (B.3), by (B.2), and since  $\pi(x') \leq x' \leq \sqrt{x}$ ,

$$(B.5) \quad \pi(x, u, w) < \frac{\text{li}(x)}{\varphi(u)} + O(\sqrt{x} \log x) < \frac{\pi(x) - \pi(x')}{\varphi(u)} + O(\sqrt{x} \log x).$$

Therefore, by (B.5) and by (B.4),

$$\begin{aligned} \frac{\pi(x, u, w) - \pi(x', u, w)}{\pi(x) - \pi(x')} &< \frac{\pi(x, u, w)}{\pi(x) - \pi(x')} \leq \frac{1}{\varphi(u)} + O\left(\frac{\sqrt{x} \log x}{\pi(x) - \pi(x')}\right) \\ &\leq \frac{1}{\varphi(u)} + O\left(\frac{\log^2 x}{\sqrt{x}}\right). \end{aligned}$$

On the other hand, by (B.3), since  $\pi(x', u, w) < x' \leq \sqrt{x}$ , and by (B.2), and by (B.1)

$$\begin{aligned} \pi(x, u, w) - \pi(x', u, w) &> \frac{\text{li}(x)}{\varphi(u)} - O(\sqrt{x} \log x) - \sqrt{x} \\ &> \frac{\pi(x) - \sqrt{x} \log x / 8\pi - \pi(x')}{\varphi(u)} - O(\sqrt{x} \log x) \\ \text{(B.6)} \quad &> \frac{\pi(x) - \pi(x')}{\varphi(u)} - O(\sqrt{x} \log x). \end{aligned}$$

Thus, by (B.6) and by (B.4)

$$\frac{\pi(x, u, w) - \pi(x', u, w)}{\pi(x) - \pi(x')} > \frac{1}{\varphi(u)} - O\left(\frac{\sqrt{x} \log x}{\pi(x) - \pi(x')}\right) \geq \frac{1}{\varphi(u)} - O\left(\frac{\log^2 x}{\sqrt{x}}\right).$$